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Applicant(s): Davis, et al.  
For: VECTORS AND METHODS FOR IMMUNIZATION OR  
THERAPEUTIC PROTOCOLS  
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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**PRELIMINARY AMENDMENT**

Sir:

**In the Specification**

Please amend the specification as indicated below. A marked-up version of the specification is attached hereto in Appendix A. Appendix A also identifies the amendments by highlighting (in addition to brackets and underlining). This was done in order to distinguish insertions (by amendment) from sections of text that were already underlined as filed (particularly for nucleic acid sequences).

Please insert on page 1, line 3, after the title of the invention and prior to the section entitled Technical Field the following text:

**Related Applications**

This application is a divisional of U.S. non-provisional patent application serial no. 09/082,649, filed May 20, 1998, now allowed, which claims priority to U.S. provisional patent application serial no. 60/047,209, filed May 20, 1998 and U.S. provisional patent application serial no. 60/047,233, filed May 20, 1997.

Please re-write the paragraph starting on page 5, line 13, as follows:

**BRIEF DESCRIPTION OF THE DRAWINGS**

Figures 1A and 1B are schematic diagrams of the construction of pUK21-A1.  
Figures 2A and 2B are schematic diagrams of the construction of pUK21-A2.  
Figures 3A and 3B are schematic diagrams of the construction of pUK21-A.  
Figures 4A and 4B are schematic diagrams of the construction of pMAS.

Please re-write the paragraph beginning on page 6, line 1, as follows:

Figure 6: Synthetic ODN cannot be mixed with DNA vaccine due to interference with expression from plasmid. The figure shows the effect of adding S-ODN to plasmid DNA expressing reporter gene or antigen. ODN 1826 (10 or 100  $\mu\text{g}$ ) was added to DNA constructs (10  $\mu\text{g}$ ) encoding hepatitis B surface antigen (HBsAg) (pCMV-S, top panel) or luciferase (pCMV-luc, bottom panel) DNA prior to intramuscular (IM) injection into mice. There was an ODN dose-dependent reduction in the induction of antibodies against HBsAg (anti-HBs, end-point dilution titers at 4 wk) by the pCMV-S DNA (top panel) and in the amount of luciferase expressed in relative light units per sec per mg protein (RLU/sec/mg protein at 3 days) from the pCMV-luc DNA (bottom panel). This suggests that the lower humoral response with DNA vaccine plus ODN was due to decreased antigen expression. Each bar represents the mean of values derived from 10 animals (top panel) or 10 muscles (bottom panel) and vertical lines represent the SEM. Numbers below the bars indicate proportion of animals responding to the DNA vaccine (top panel); all muscles injected with pCMV-luc expressed luciferase (bottom panel).

Please re-write the paragraph beginning on page 6, line 13, as follows:

Figure 7: Interference of ODN with pDNA due to backbone and sequence. The figure shows the interference of ODN with plasmid DNA depends on backbone and sequence. Luciferase activity (RLU/sec/mg protein) in mouse muscles 3 days after they were injected with 10  $\mu\text{g}$  pCMV-luc DNA to which had been added no ODN (none = white bar) or 100  $\mu\text{g}$  of an ODN, which had one of three backbones: phosphorothioate (S = left slanted bars: 1628, 1826, 1911, 1982, 2001 and 2017), phosphodiester (O = thick left slanted bar: 2061), or a phosphorothioate-phosphodiester chimera (SOS = right slanted bars: 1585, 1844, 1972, 1980, 1981, 2018, 2021, 2022, 2023 and 2042). Three S-ODN (1911, 1982 and 2017) and two SOS-ODN (1972 and 2042) did not contain any immunostimulatory CpG motifs. One S-ODN (1628) and three SOS-ODN (1585, 1972, 1981) had poly-G ends and one SOS-ODN (2042) had a poly-G center. The (\*) indicates ODN of identical sequence but different backbone: 1826 (S-ODN), 1980 (SOS-ODN) and 2061 (O-ODN). All S-ODN (both CpG and non-CpG) resulted in decreased luciferase activity whereas SOS-ODN did not unless they had poly-G sequences.

Please re-write the paragraph beginning on page 6, line 25, as follows:

Figure 8: Temporal and spatial separation of CpG ODN and plasmid DNA. The figure shows the effect of temporal or spatial separation of plasmid DNA and S-ODN on gene

expression. Luciferase activity (RLU/sec/mg protein) in mouse muscles 3 or 14 days after they were injected with 10  $\mu$ g pCMV-luc DNA. Some animals also received 10  $\mu$ g CpG-S ODN which was mixed with the DNA vaccine or was given at the same time but at a different site, or was given 4 days prior to or 7 days after the DNA vaccine. Only when the ODN was mixed directly with the DNA vaccine did it interfere with gene expression.

Please re-write the paragraph beginning on page 7, line 6, as follows:

Figure 9: Immunization of BALB/c mice with CpG-optimized DNA vaccines. The figure shows the enhancement of *in vivo* immune effects with optimized DNA vaccines. Mice were injected with 10  $\mu$ g of pUK-S, pMAS-S, pMCG16-S or pMCG50-S plasmid DNA bilaterally (50  $\mu$ l at 0.1 mg/ml in saline) into the TA muscle. The top panel shows the anti-HBs antibody response at 6 weeks (detected as described in methods). Bars represent the group means (n=5) for ELISA end-point dilution titers (performed in triplicate), and vertical lines represent the standard errors of the mean. The numbers on the bars indicate the ratio of IgG2a:IgG1 antibodies at 4 weeks, as determined in separate assays (also in triplicate) using pooled plasma. The bottom panel shows the cytotoxic T lymphocyte activity in specifically restimulated (5 d) splenocytes taken from mice 8 wk after DNA immunization. Bars represent the group means (n=3) for % specific lysis (performed in triplicate) at an effector:target (E:T) ratio of 10:1; dots represent the individual values. Non-specific lytic activity determined with non-antigen-presenting target cells, which never exceeds 10%, has been subtracted from values with HBsAg-expressing target cells to obtain % specific lysis values.

Please re-write the paragraph beginning on page 7, line 19, as follows:

Figure 10 shows induction of a Th2-like response by a CpG-N motif and inhibition of the Th1-like response induced by a CpG-S motif. Anti-HBs antibody titers (IgG1 and IgG2a subclasses) in BALB/c mice 12 weeks after IM immunization with recombinant HBsAg, which was given alone (none) or with 10  $\mu$ g stimulatory ODN (1826), 10  $\mu$ g of neutralizing ODN (1631, CGCGCGCGCGCGCGCGCGCG (SEQ ID NO:22); 1984, TCCATGCCGTTCTCCTGCCGTT (SEQ ID NO:78); or 2010 GCGGCGGGCGGCGCGCGCCC (SEQ ID NO:75); CpG dinucleotides are underlined for clarity) or with 10  $\mu$ g stimulatory ODN + 10  $\mu$ g neutralizing ODN. To improve nuclease resistance for these *in vivo* experiments, all ODN were phosphorothioate-modified. Each bar represents the group mean (n=10 for none; n=15 for #1826 and n=5 for all other groups) for anti-HBs antibody titers as determined by end-point dilution ELISA assay. Hatched portions

of bars indicate antibodies of IgG1 subclass (Th2-like) and white portions indicate IgG2a subclass (Th1-like). The numbers above each bar indicate the IgG2a/IgG1 ratio where a ratio  $>1$  indicates a predominantly Th1-like response and a ratio  $<1$  indicates a predominantly Th2-like response (a value of 0 indicates a complete absence of IgG2a antibodies).

Please re-write paragraph beginning on page 8, line 5, as follows:

Figure 11 shows enhancement of *in vivo* immune effects with optimized DNA vaccines. Mice were injected with 10  $\mu$ g of pUK-S (white bars), pMAS-S (right slanted bars), pMCG16-S (thin right slanted bars) or pMCG50-S (left slanted bars) plasmid DNA bilaterally (50  $\mu$ l at 0.1 mg/ml in saline) into the TA muscle. Panel A: The anti-HBs antibody response at 6 weeks (detected as described in methods). Bars represent the group means (n=5) for ELISA end-point dilution titers (performed in triplicate), and vertical lines represent the standard errors of the mean. The numbers on the bars indicate the ratio of IgG2a:IgG1 antibodies at 4 weeks, as determined in separate assays (also in triplicate) using pooled plasma. Panel B: Cytotoxic T lymphocyte activity in specifically restimulated (5 d) splenocytes taken from mice 8 wk after DNA immunization. Bars represent the group means (n=3) for % specific lysis (performed in triplicate) at an effector: target (E:T) ratio of 10:1, dots represent the individual values. Non-specific lytic activity determined with non-antigen-presenting target cells, which never exceeds 10%, has been subtracted from values with HBsAg-expressing target cells to obtain % specific lysis values.

Please re-write the paragraph beginning on page 35, line 8, as follows:

(i) Insertion of the CMV (human cytomegalovirus) major intermediate early promoter/enhancer region

The CMV promoter (from pcDNA3 position 209 to 863) was amplified by PCR using 30 ng pcDNA3 as a template. The forward PCR primer 5'CGT GGA TAT CCG ATG TAC GGG CCA GAT AT 3'(SEQ ID NO:4) introduced an EcoRV site, and the reverse PCR primer 5' AGT CGC GGC CGC AAT TTC GAT AAG CCA GTA AG 3'(SEQ ID NO:5) introduced a *NotI* site. After digestion with EcoRV and *NotI*, a 0.7 kb PCR fragment containing the CMV promoter was purified and inserted into the pUK21 polylinker between *XbaI* and *NotI* sites. The *XbaI* sticky end of pUK21 was filled in with the large fragment of T4 DNA polymerase after digestion to create a blunt end. The inserted CMV promoter was confirmed by sequencing. The resulting plasmid was pUK21-AI (Figures 1A and 1B).

Please re-write the paragraph beginning on page 35, line 19, as follows:

(ii) Insertion of the BGH polyA (bovine growth hormone polyadenylation signal) BGH polyA (from pcDNA3 position 1018 to 1249) was amplified by PCR using pcDNA3 as template. The forward PCR primer 5' ATT CTC GAG TCT AGA CTA GAG CTC GCT GAT CAG CC 3' (SEQ ID NO:6) introduced *XhoI* and *XbaI* sites, and the reverse PCR primer 5' ATT AGG CCT TCC CCA GCA TGC CTG CTA TT 3' (SEQ ID NO:7) introduced a *StuI* site. After digestion with *XhoI* and *StuI*, the 0.2 kb PCR fragment containing the BGH polyA was purified, and ligated with the 3.7 kb *XhoI-StuI* fragment of pUK21-A1. The inserted BGH polyA was confirmed by sequencing. The resulting plasmid was pUK21-A2 (Figures 2A and 2B).

Please re-write the paragraph beginning on page 36, line 24, as follows:

(i) Insertion of the fl origin of replication region

The fl origin and two unique restriction enzyme sites (*DraI* and *ApaI*) were introduced into pUK21-A2 for later vector construction. fl origin (from pcDNA3 position 1313 to 1729) was amplified by PCR using pcDNA3 as template. The forward PCR primer 5' TAT AGG CCC TAT TTT AAA CGC GCC CTG TAG CGG CGC A 3' (SEQ ID NO:8) introduced *EcoO109I* and *DraI* sites, and the reverse PCR primer 5' CTA TGG CGC CTT GGG CCC AAT TTT TGT TAA ATC AGC TC 3' (SEQ ID NO:9) introduced *NarI* and *ApaI* site. After digestion with *NarI* and *EcoO109I*, the 0.4 kb PCR fragment containing the fl origin was purified and ligated with the 3.3 kb *NarI-EcoO109I* fragment of pUK21-A2, resulting in pUK21-A (Figures 3A and 3B).

Please re-write the paragraph beginning on page 38, line 22, as follows:

(iii) Replacement of the fl origin with unique restriction enzyme sites

Oligonucleotides 5' AAA TTC GAA AGT ACT GGA CCT GTT AAC A 3' (SEQ ID NO:10) and its complementary strand 5' CGT GTT AAC AGG TCC AGT ACT TTC GAA TTT 3' (SEQ ID NO:11) were synthesized, and 5'-phosphorylated. Annealing of these two phosphorylated oligos resulted in 28 base pair double-stranded DNA containing three unique restriction enzyme sites (*ScaI*, *AvaII*, *HpaI*), one sticky end and one blunt end. Replacing the 0.4 kb *NarI-DraI* fragment of pUK21-B with this double-stranded DNA fragment resulted in the universal vector pMAS for DNA vaccine development (Figures 4A and 4B and 5).

Please re-write the paragraph beginning on page 44, line 11, as follows:

In contrast to the success with protein antigens, attempts to augment immune responses induced by a HBsAg-expressing DNA vaccine by the addition of CpG-S ODN 1826 failed. Surprisingly, the immune responses decreased with the addition of CpG-S ODN in a dose-dependent manner (Figure 6, top panel). Addition of ODN #1826 to a luciferase reporter gene construct (pCMV-luc, Davis *et al.*, 1993b) resulted in a dose-dependent decrease in luciferase expression (Figure 6, bottom panel). This indicates that the negative effects of the CpG-S ODN on the DNA vaccine were due to reduced gene expression rather than an effect on the immune response against the gene product.

Please re-write the paragraph beginning on page 48, line 15, as follows:

Next, different numbers of CpG-S motifs were inserted into the vector by allowing self-ligation of a 20bp DNA fragment with the sequence 5' GACTCCATGACGTTCTGACGTTTCCATGACGTTCTGACGTTG 3'(SEQ ID NO:12) with a complementary strand and inserting different numbers of copies into the *AvaII* site of pMAS. Recombinant clones were screened and the two vectors were chosen for further testing with 16 and 50 CpG-S motifs, and named pMCG16 and pMCG50 respectively.

Please re-write the paragraph beginning on page 51, line 16, as follows:

When tested for their ability to induce cytokine (IL-6 and IL-12) secretion from cultured spleen cells, we found that the pMAS-S, pMCG16-S and pMCG50-S vectors had significantly enhanced immune stimulatory activity compared to pUK-S. When used as a DNA vaccine, the anti-HBs response at 4 and 6 weeks was substantially stronger with DNA vaccines from which CpG-N motifs had been deleted, and even more so when 16 CpG-S motifs had been inserted. The vector with 50 CpG-S motifs, however, was less effective at inducing antibody production than that with 16 motifs. (Figure 11, panel A). Removal of CpG-N motifs and addition of CpG-S motifs resulted in a more than three-fold increase in the proportion of IgG2a relative to IgG1 anti-HBs antibodies, indicating an enhanced Th-1 response. This accentuated Th1 response also was demonstrated by the striking progressive increases in CTL responses induced by vectors from which CpG-N motifs were deleted and/or CpG-S motifs added (Figure 11, panel B).

Please re-write the paragraph beginning on page 53, line 20, as follows:

Based on our *in vitro* experiments we hypothesized that the presence of CpG-N motifs in DNA vaccines interferes with the induction of the desired immune response. Indeed, the

present study demonstrates that elimination of CpG-N motifs from a DNA vaccine leads to improved induction of antibodies. By removing 52 of the CpG-N motifs from a DNA vaccine (45 were deleted and 7 turned into CpG-S motifs) the serologic response was more than doubled; by then adding an additional 16 CpG-S motifs, the response was enhanced nearly 10 fold (Figure 11, panel A). Likewise, CTL responses were improved by removing CpG-N motifs and even more so by adding 16 or 50 CpG-S motifs (Figure 11, panel B). These increased responses are especially notable in view of the fact that the total number of CpG dinucleotides in the mutated vaccines is considerably below the original number.

Please re-write the paragraph beginning on page 54, line 2, as follows:

The finding that the vector with 50 CpG-S motifs was inferior to that with 16 motifs for induction of humoral immunity was unexpected, and may be secondary to CpG-induced production of type I interferons, and subsequent reduction in the amount of antigen expressed. The decreased antibody response induced by pMCG50-S seems unlikely to be explained by vector instability since this vector gave the best CTL responses (Figure 11, panel B). Although the pMCG50-S vector was slightly larger than pMCG16-S, the 10 µg dose still contained 93% as many plasmid copies as it did pMCG16-S, so lower copy number is unlikely to account for the reduced antibody levels. The current generation of DNA vaccines are quite effective in mice, but much less effective in primates (Davis, H.L., *et al.*, *Proc. Natl. Acad. Sci. USA*, 93:7213-7218 (1996); Letvin, N.L., *et al.*, *Proc. Natl. Acad. Sci. USA*, 94:9378-9383 (1997); Fuller, D.H., *et al.*, *J Med. Primatol.*, 25:236-241 (1996); Lu, S., *et al.*, *J Virol.*, 70:3978-3991 (1996); Liu, M.A., *et al.*, *Vaccine*, 15:909-919 (1997); Prince, A.M., *et al.*, *Vaccine*, 15:9196-919 (1997); Gramzinski, R.A., *et al.*, *Molec. Med.*, 4:109-119 (1998)). Our present results indicate that attaining the full clinical potential of DNA vaccines will require using engineered vectors in which CpG-N motifs have been deleted, and CpG-S motifs added.

Please re-write Table 1, beginning on page 56, line 22, as follows:

**Table 1.**

Primers used for site-directed mutagenesis.

Mutated nucleotides are underlined. Restriction enzyme sites for cloning, are indicated in bold.

**Forward primers:**

Mu-0F	5' <b>GTCTCTAGACAGCCACT</b> GGTAACAGGATT 3' (845) (SEQ ID NO:23)
Mu-1F	(1144) 5' <b>TCGTTTG</b> TGTCGTCAGCGTAATGC 3' (1172) (SEQ ID NO:24)
Mu-2F	(1285) 5' <b>TCGTTTCTG</b> TAATGAAGGAG 3' (1304) (SEQ ID NO:25)
Mu-3F	(1315) 5' <b>AAGGCAGTTC</b> CATAGGATGG 3' (1334) (SEQ ID NO:26)
Mu-(4+5)F	(1348) 5' TCG <b>A</b> TC <b>T</b> CGGATTC <b>C</b> ACTCGTCCAACATCAATAC 3' (1382) (SEQ ID NO:27)
Mu-6F	(1453) 5' <b>TGGTGAGA</b> ATGGCAAAAGTT 3' (1472) (SEQ ID NO:28)
Mu-7F	(1548) 5' CATTATTCATT <b>CGT</b> GATTGCG 3' (1568) (SEQ ID NO:29)
Mu-8F	(1633) 5' <b>ACGTC</b> TCAGGAACACTGCCAGCGC 3' (1656) (SEQ ID NO:30)
Mu-9F	(1717) 5' <b>AGGGATCGC</b> AGTGGTGAGTA 3' (1736) (SEQ ID NO:31)
Mu-10F	(1759) 5' <b>TATAAAATG</b> CCTTGATGGTCGG 3' (1779) (SEQ ID NO:32)
Mu-(11+12)F	(1777) 5' <b>GGGAAGAGGC</b> ATAAATTC <b>TGTC</b> AGCCAGTTAGTC 3' (1811) (SEQ ID NO:33)
Mu-13F	(1882) 5' <b>TGGCTTCC</b> CATACAAGCGAT 3' (1901) (SEQ ID NO:34)
Mu-14F	(1924) 5' <b>TACATTATCGC</b> GAGCCCAT 3' (1943) (SEQ ID NO:35)
Mu-15F	(1984) 5' <b>TGGCCTCG</b> ACGTTTCCCGT 3' (2002) (SEQ ID NO:36)

**Reverse primers:**

Mu-0R	5' ATCGAATTCAGGGCC <b>TC</b> GTGATACGCCTA 3' (2160) (SEQ ID NO:37)
Mu-1R	(1163) 5' TGACTTGACG <b>ACACACGAC</b> AGCTCATGACCAAAATCCC 3' (1125) (SEQ ID NO:38)
Mu-2R	(1304) 5' CTCCTTCATTACAGAAACG <b>ACT</b> TTTTTCAAAAATATGGTA 3' (1266) (SEQ ID NO:39)
Mu-3R	(1334) 5' CCATCCTATGGA <b>ACTGCC</b> TGGTGAGTTTTCTCCTTC 3' (1298) (SEQ ID NO:40)
Mu-(4+5)R	(1367) 5' GAGT <b>TGGA</b> ATCGCAG <b>AT</b> TCGATACCAAGGATCTTGC 3' (1334) (SEQ ID NO:41)
Mu-6R	(1472) 5' AACTTTGGCCATTCTCACC <b>AG</b> ATTACAGTCGCTACTCA 3' (1436) (SEQ ID NO:42)
Mu-7R	(1568) 5' CGCAATCACGAATGAATA <b>ATGG</b> TTTGGTTGATGCGAGTG 3' (1530) (SEQ ID NO:43)



Mu-8R (1652) 5' TGGCAGTGTTCCTGAGACGTTTGCAATTCGATTCCTGTT 3' (1615) (SEQ ID NO:44)

Mu-9R (1736) 5' TACTCACCCTGCGATCCCTGGAAAAACAGCATTCCAG 3' (1736) (SEQ ID NO:45)

Mu-10R (1779) 5' CCGACCATCAAGCATTTTATACGTACTCCTGATGATGCA 3' (1741) (SEQ ID NO:46)

Mu-(11+12) (1796) 5' CAGAAATTTATGCCTCTTCCCACCATCAAGCATTTTATAC 3' (1758) (SEQ ID NO:47)

Mu-13R (1901) 5' ATCGCTTGTATGGGAAGCCAGATGCGCCAGAGTTGTTT 3' (1882) (SEQ ID NO:48)

Mu-14R (1943) 5' AATGGGCTCGCGATAATGTAGGGCAATCAGGTGCGAC 3' (1907) (SEQ ID NO:49)

Mu-15R (2002) 5' ACGGGAAACGTCGAGGCCACGATTAAATTCCAACATGG 5' (1965) (SEQ ID NO:50)

Please re-write Table 2, beginning on page 59, line 1, as follows:

**Table 2** Nucleotide and amino acid sequences of the *AlwNI-EcoO109I* fragment (SEQ ID NO:80)

kan (wt)	2180	AAGGGCCTCG	TGATACGCCT	ATTTTATAG	GTTAATGTCA	TGGGGGGGGG	GGGGAAGGCC
kan (wt)	2120	ACGTTGTGTC	TCAAAAATCTC	TGATGTTACA	TTGACAAGA	TAAAAATATA	TCATCATGAA
kan (wt)	2060	CAATAAACT	GTCCTGCTAC	ATAAACAGTA	ATACAGAGGG	TGTTATGAGC	CATATTCAAC
kan (mu)							
ORF						M S	H I Q
kan (wt)	2000	GGGAAACGTC	GAGGCCGCGA	TTAAATTCGA	ACATGGATGC	TGATTATAT	GGGTATAAAT
kan (mu)			A				
ORF		R E T S	R P R	L N S	N M D A	D L Y	G Y K
kan (wt)	1940	GGGCTCGCGA	TAATGTCGGG	CAATCAGGTG	CGACAATCTA	TCGCTTGAT	GGGAAGCCCG
kan (mu)			A				A
ORF		W A R D	N V G	Q S G	A T I Y	R L Y	G K P
kan (wt)	1880	ATGCGCCAGA	GTGTTTCTG	AAACATGGCA	AAGGTAGCGT	TGCCAATGT	GTTCACAGTG
kan (mu)							
ORF		D A P E	L F L	K H G	K G S V	A N D	V T D
kan (wt)	1820	AGATGGTCAG	ACTAACTGG	CTGACCGAAT	TTATGCCTCT	TCGACCATC	AAGCATTTTA
kan (mu)				A		C	
ORF		E M V R	L N W	L T E	F M P L	P T I	K H F
kan (wt)	1760	TCGCTACTCC	TGATGATGCA	TGTTTACTCA	CCACTGCGAT	CCCGGGAATA	ACAGCATCTC
kan (mu)		A				T	
ORF		I R T P	D D A	W L L	T T A I	P G K	T A F
kan (wt)	1700	AGGTATTAGA	AGAATATCCT	GATTCAGGTG	AAATATTGT	TGATGCGCTG	GCAGTGTTC
kan (mu)							
ORF		Q V L E	E Y P	D S G	E N I V	D A L	A V F
kan (wt)	1640	TGGCCGGGTT	GCATTGCGAT	CCTGTTTGTA	ATTGTCTCTT	TAACAGCGAT	CGCGTATTTT
kan (mu)		A A A					
ORF		L R R L	H S I	P V C	N C P F	N S D	R V F
kan (wt)	1580	GTCTCGCTCA	GGCGCAATCA	CGAATGAATA	ACGGTTTGGT	TGATGCGAGT	GATTTTGATG
kan (mu)					T		
ORF		R L A Q	A Q S	R M N	N G L V	D A S	D F D
kan (wt)	1520	ACGAGCGTAA	TGGCTGGCCT	GTGGAACAAG	TCTGGAAGA	AATGCATAAA	CTTTTGCCAT
kan (mu)							
ORF		D E R N	G W P	V E Q	V W K E	M H K	L L P
kan (wt)	1460	TCTCACCGGA	TTCAGTCGTC	ACTCATGGTG	ATTCTCACT	TGATAACCTT	ATTTTITGAGC
kan (mu)		A					
ORF		F S P D	S V V	T H G	D F S L	D N L	I F D
kan (wt)	1400	AGGGGAAATT	AATAGGTTGT	ATTGATGTTG	GACGAGTCGG	AATCGCAGC	CGATACGAGG
kan (mu)					T		
ORF		E G K L	I G C	I D V	G R V G	I A D	R Y Q
kan (wt)	1340	ATCTTGCCAT	CCTATGGAAC	TGCTCTGGTG	AGTTTCTCC	TTTCATTACG	AAACGGCTTT
kan (mu)				T			T
ORF		D L A I	L W N	C L G	E F S P	S L Q	K R L
kan (wt)	1280	TTCAAAAATA	TGGTATTGAT	AATCCTGATA	TGAATAAATT	GCAGTTTTCAT	TTGATGCTCG
kan (mu)							
ORF		F Q K Y	G I D	N P D	M N K L	Q F H	L M L
kan (wt)	1220	ATGAGTTTTT	CTAATCAGAA	TTGGTTAATT	GGTTGTAAAC	CTGGCAGAGC	ATTACGCTGA
kan (mu)							
ORF		D E F F					
kan (wt)	1160	CTTGACGGGA	CGCGCGAAGC	TCATGACCAA	AATCCCTTAA	CGTGAGTTTT	CGTTCCTAGT
kan (mu)		AC	AA AC				
ORF		ACGCTCAGAC	CCCGTAGAAA	AGATCAAAGG	ATCTTCTGTA	GATCCTTTTT	TTCTGGCGGT
kan (wt)	1100	ATCTGCTGCG	TTCTCAACAA	AAACACACC	GCTACAGCG	GTCTGTCATC	TGCTGGATCA
kan (wt)	1040	AGAGCTACCA	ACCTCTTTTC	CGAGAGTAAC	TGGCTTCAGC	AGAGCGCAGA	TACCAAAATC
kan (wt)	980	TGGTCTTCTA	GCTAGACCGT	AGTTAGGCCA	CAACTTCAG	AACTCTGTAG	CACCGCCTAC
kan (wt)	920	ATACCTCGCT	CTGCTAATCC	TGTTAGCAGT	GGCTGCTGCC		
kan (wt)	860						

**Note:** Mutated nucleotides are underlined. The *AlwNI* and *EcoO109I* sites are indicated in bold type. The nucleotide numbering scheme is the same as the backbone vector pUK21.

09260101.092601

Please re-write Table 3, beginning on page 60, line 1, as follows:

Plasmid DNA Vectors

Davis *et al.* (1998)

**Table 3**

*Plasmids containing immunostimulatory CpG motifs*

Plasmid	Backbone	No. CpG Motifs	Species Specificity and ODN Equivalence of CpG-S Insert
pMCG-16	pMAS	16	mouse-specific CpG motif #1826 <sup>1</sup>
pMCG-50	pMAS	50	
pMCG-100	pMAS	100	
pMCG-200	pMAS	200	
pHCG-30	pMAS	30	human-specific CpG motif - no ODN equivalent <sup>2</sup>
pHCG-50	pMAS	50	
pHCG-100	pMAS	100	
pHCG-200	pMAS	200	
pHIS-40	pMAS	40	human-specific CpG motif #2006 <sup>3</sup>
pHIS-64	pMAS	64	
pHIS-128	pMAS	128	
pHIS-192	pMAS	192	

<sup>1</sup> sequence of 1826 is TCCATGACCGTTCTCTGACCGTT (SEQ ID NO:51)

<sup>2</sup> sequence used as a source of CpG motifs is  
GACTTCCGTGTCCGTCTCTGTCGTCCTTAGCCGCTTCCTCCGTGCGTCCCTTG (SEQ ID NO:14)

<sup>3</sup> sequence of 2006 is TCGTCGTTTTGTCGTTTGTCGTT (SEQ ID NO:3)

Please re-write Table 4, beginning on page 61, line 1, as follows:

**Table 4**

Plasmids encoding hepatitis B surface antigen (derived from ayw or adw subtypes of HBV)

Plasmid	Backbone	Insert
pUK-S	pUK21-A2	HBV-S (ayw)
pUKAX-S	pUK21-AX*	HBV-S (ayw)
pMAS-S	pMAS	HBV-S (ayw)
pMCG16-S	pMCG-16	HBV-S (ayw)
pMCG50-S	pMCG-50	HBV-S (ayw)
pMCG100-S	pMCG-100	HBV-S (ayw)
pMCG200-S	pMCG-200	HBV-S (ayw)
pHCG30-S	pHCG-30	HBV-S (ayw)
pHCG50-S	pHCG-50	HBV-S (ayw)
pHCG100-S	pHCG-100	HBV-S (ayw)
pHCG200-S	pHCG-200	HBV-S (ayw)
pHIS40-S(ad)	pHIS-40	HBV-S (adw2)
pHIS64-S(ad)	pHIS-64	HBV-S (adw2)
pHIS128-S(ad)	pHIS-128	HBV-S (adw2)
pHIS192-S(ad)	pHIS-192	HBV-S (adw2)

\*pUK21-AX was created by deleting fl origin from pUK21-A

Please re-write Table 5, beginning on page 62, line 1, as follows:

**Table 5** Sequence comparison of pUK21-A2 (SEQ ID NO:83) and pGT (SEQ ID NO:84). 75 point-mutations (indicated with \*) in pUK21-A2 results in the gene therapy vector (pGT)

pUK21-A2 (1)	GAATTCGAGC	TCCCGGTAC	CATGGCATGC	ATCGATAGAT	CTCGAGTCTA	GACTAGAGCT
pGT	GAATTCGAGC	TCCCGGTAC	CATGGCATGC	ATCGATAGAT	CTCGAGTCTA	GACTAGAGCT
pUK21-A2 (61)	CGCTGATCAG	CCTCGACTGT	GCCTTCTAGT	TGCGAGCCAT	CTGTGTTGTTG	CCCTCTCCCC
pGT	CGCTGATCAG	CCTCGACTGT	GCCTTCTAGT	TGCGAGCCAT	CTGTGTTGTTG	CCCTCTCCCC
pUK21-A2 (121)	GTGCCTTCCT	TGACCTCGGA	AGGTGCCACT	CCCCTGTCC	TTTCTTAATA	AAATGAGGAA
pGT	GTGCCTTCCT	TGACCTCGGA	AGGTGCCACT	CCCCTGTCC	TTTCTTAATA	AAATGAGGAA
pUK21-A2 (181)	ATTGCTACGC	ATTGCTCTGAG	TAGGTGTGAT	TCTATTCTGG	GGGGTGGGGT	GGGGCAGGAC
pGT	ATTGCTACGC	ATTGCTCTGAG	TAGGTGTGAT	TCTATTCTGG	GGGGTGGGGT	GGGGCAGGAC
pUK21-A2 (241)	AGCAAGGGGG	AGGATTGGGA	AGACAATAGC	AGGCATGCTG	GGGAGGGGCT	CGGACTAGTG
pGT	AGCAAGGGGG	AGGATTGGGA	AGACAATAGC	AGGCATGCTG	GGGAGGGGCT	CGGACTAGTG
pUK21-A2 (301)	CGGTAATCAT	GGTCATAGCT	GTTTCTCTGT	TGAATTTGTT	ATCCGCTCMC	AATTCACAC
pGT	CGGTAATCAT	GGTCATAGCT	GTTTCTCTGT	TGAATTTGTT	ATCCGCTCMC	AATTCACAC
pUK21-A2 (361)	AACATACGAG	CCGCGGAAGC	ATAAAGTGTA	AAGCCTGGGG	TGCCTAATGA	GTGAGCTAAC
pGT	AACATACGAG	CCGCGGAAGC	ATAAAGTGTA	AAGCCTGGGG	TGCCTAATGA	GTGAGCTAAC
pUK21-A2 (421)	TCACATTAAT	TGCGTTGCGG	TCACCTGCCG	CTTTCAGTC	GGGAACCTG	TGCTGCCAGC
pGT	TCACATTAAT	TGCGTTGCGG	TCACCTGCCG	CTTTCAGTC	GGGAACCTG	TGCTGCCAGC
pUK21-A2 (481)	TGCATTAATG	AATCGGCCAA	CGCGCGGGGA	GAGCGGTTT	CGCTATTGG	CGCTCTTCGG
pGT	TGCATTAATG	AATCGGCCAA	CGCGCGGGGA	GAGCGGTTT	CGCTATTGG	CGCTCTTCGG
pUK21-A2 (541)	CTTCTCCGCT	CACCTGACTCG	CTGCGCTCGG	TGCTTCGGCT	CGCGCAGCG	GTATCAGCTC
pGT	CTTCTCCGCT	CACCTGACTCG	CTGCGCTCGG	TGCTTCGGCT	CGCGCAGCG	GTATCAGCTC
pUK21-A2 (601)	ACTCAAAGCG	GCTAATACGG	TATACACAG	AATCAGGGGA	TAAACGAGGA	AAGAACTATG
pGT	ACTCAAAGCG	GCTAATACGG	TATACACAG	AATCAGGGGA	TAAACGAGGA	AAGAACTATG
pUK21-A2 (661)	GAGCAAAGG	CCAGCAAAG	GCCAGGAACC	GTA AAAAGG	CGCTTTGCTG	CGCTTTTTC
pGT	GAGCAAAGG	CCAGCAAAG	GCCAGGAACC	GTA AAAAGG	CGCTTTGCTG	CGCTTTTTC
pUK21-A2 (721)	ATAGGCTCCG	CCCCCTGAC	GAGCATCACA	AAATTCGACG	CTCAAGTCAG	AGGTGGCGAA
pGT	ATAGGCTCCG	CCCCCTGAC	GAGCATCACA	AAATTCGACG	CTCAAGTCAG	AGGTGGCGAA
pUK21-A2 (781)	ACCCGACAGG	ACTATAAAGA	TACCAGCGGT	TTCCCGCTGG	AGCTCCCTC	GTGCGCTCTC
pGT	ACCCGACAGG	ACTATAAAGA	TACCAGCGGT	TTCCCGCTGG	AGCTCCCTC	GTGCGCTCTC
pUK21-A2 (841)	CTGTTCGGAC	CCTGCCGCTT	ACCGGATACC	TGTCGCGCTT	TCTCCCTTGG	GGAGCGTGG
pGT	CTGTTCGGAC	CCTGCCGCTT	ACCGGATACC	TGTCGCGCTT	TCTCCCTTGG	GGAGCGTGG
pUK21-A2 (901)	CGCTTTCTCA	TAGCTCACGC	TGTAGGTATC	TCAGTTCGGT	GATGTCGTT	CGCTCAAGC
pGT	CGCTTTCTCA	TAGCTCACGC	TGTAGGTATC	TCAGTTCGGT	GATGTCGTT	CGCTCAAGC
pUK21-A2 (961)	TGGGCTGTGT	GCACGAACCC	CCCGTTACG	CCGACCGCTG	CGCTTTATCC	GCTAACTATC
pGT	TGGGCTGTGT	GCACGAACCC	CCCGTTACG	CCGACCGCTG	CGCTTTATCC	GCTAACTATC
pUK21-A2 (1021)	GTCTTGAGTC	CAACCCGGTA	AGACACGACT	TATCGGCAT	GGGACGAGC	ACTGGTATCA
pGT	TGGGCTGTGT	GCACGAACCC	CCCGTTACG	CCGACCGCTG	CGCTTTATCC	GCTAACTATC
pUK21-A2 (1081)	CGATTACGAC	AGCGAGGTAT	GTAGCGGCTG	CTACAGAGTT	CTTGAAGTGG	TGGCTTAAGT
pGT	CGATTACGAC	AGCGAGGTAT	GTAGCGGCTG	CTACAGAGTT	CTTGAAGTGG	TGGCTTAAGT
pUK21-A2 (1141)	ACGGCTACAC	TAGAAGAACA	GTAATTGGTA	TCTGGGCTCT	GCTGAAGCCA	GTACCTTCG
pGT	ACGGCTACAC	TAGAAGAACA	GTAATTGGTA	TCTGGGCTCT	GCTGAAGCCA	GTACCTTCG
pUK21-A2 (1201)	GAAAAAGAGT	TGGTAGCTCT	TGATCCGGCA	AACAAACAC	CGCTGTGATG	GCTGGTTTTT
pGT	GAAAAAGAGT	TGGTAGCTCT	TGATCCGGCA	AACAAACAC	CGCTGTGATG	GCTGGTTTTT
pUK21-A2 (1261)	GAAAAAGAGT	TGGTAGCTCT	TGATCCGGCA	AACAAACAC	CGCTGTGATG	GCTGGTTTTT
pGT	GAAAAAGAGT	TGGTAGCTCT	TGATCCGGCA	AACAAACAC	CGCTGTGATG	GCTGGTTTTT
pUK21-A2 (1321)	TTTCTACGGG	GTCGACGCT	CAGTGGAAAC	AAAACCTCAG	TTAAGGAAAT	TTGGTCATGA
pGT	TTTCTACGGG	GTCGACGCT	CAGTGGAAAC	AAAACCTCAG	TTAAGGAAAT	TTGGTCATGA

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pUK21-A2 (1381) GCTTGGCGCG TCCCGTCAAG TCAGCGTAAT GCTCTGCCAG TGTTCACAACC AATTAACCAA  
 pGT GCTTGGCGCG TCCCGTCAAG TCACCGGAAT GCTCTGCCAG TGTTCACAACC AATTAACCAA  
 pUK21-A2 (1441) TTCTGATTAG AAAAATCAT CGAGCATCAA ATGAACATGC AATTTATTCA TATCAGGATT  
 pGT TTCTGATTAG AAAAATCAT CCAGCATCAA ATGAACATGC AATTTATTCA TATCAGGATT  
 pUK21-A2 (1501) ATCAATACCA TATTTTGTAA AAAGCGGTTT CTGTAAATGAA GGAGAAAACAT CACCGAGGCA  
 pGT ATCAATACCA TATTTTGTAA AAAGCGGTTT CTGTAAATGAA GGAGAAAACAT CACCGAGGCA  
 pUK21-A2 (1561) GTTCCATAGG ATGGCAAGAT OCTGGTATCG GTCTGCGATT CCGACTCGTC CRACATCAAT  
 pGT GTTCCATAGG ATGGCAAGAT OCTGGTATCG GTCTGCGATT CCGACTCGTC CRACATCAAT  
 pUK21-A2 (1621) ACAACCTATT AATTTCCCTT CGTCAAAATAT AAGGTTATCA AGTGAGAAAT CACCATGAGT  
 pGT ACAACCTATT AATTTCCCTT CATCAAAATAT AAGGTTATCA AGTGAGAAAT CACCATGAGT  
 pUK21-A2 (1681) GACGACTGAA TCCGCTGAGA ATGGCAAAG TTTATGCATT TCTTCCAGA CTTGTTCAAC  
 pGT AACTACTGAA TCCGCTGAGA ATGGCAAAG TTTATGCATT TCTTCCAGA CTTGTTCAAC  
 pUK21-A2 (1741) AGGCCAGCCA TTACGCTCGT CATCAAAATC ACTCGCATCA ACCRAACCGT TATTCATTCT  
 pGT AGGCCAGCCA TTACGCTCAT CATCAAAATC GGAAGCATCA ACCRAACCGT TATTCATTCT  
 pUK21-A2 (1801) TGATTGCGCC TGAGCGAGAC GAAATACGCG ATCGCTGTTA AAGAGCAAT TACAACACGG  
 pGT GATTGAGCC TGAGCGAGAC GAAATACGCG GTCGCTGTTA AAGAGCAAT TACAACACGG  
 pUK21-A2 (1861) ATCTGAATGC AACCAGCGCA GGAACACTGC AGCGCATCA ACAAATATTT CACCTGAATC  
 pGT ATCTGAATGC AACCAGCGCA GGAACACTGC AGCGCATCA ACAAATATTT CACCTGAATC  
 pUK21-A2 (1921) AGGATATTCT TCTAATACCT GGAATGCTGT TTTTCCGGGG ATCGCAATGG TGAATACCA  
 pGT AGGATATTCT TCTAATACCT GGAATGCTGT TTTTCCGGGG ATCGCAATGG TGAATACCA  
 pUK21-A2 (1981) TGCATCATCA GGAGTACGGA TAAATGCTT GATGGTCGGA AGAGGCATAA ATTCCGTAG  
 pGT TGCATCATCA GGAGTACGGA TAAATGCTT GATGGTCGGA AGAGGCATAA ATTCCGTAG  
 pUK21-A2 (2041) CCAGTTTAACT CTGACCATCT CATCTGTAC ATCATTTGCA ACSCATACCT TGGCATGTTT  
 pGT CCAGTTTAACT CTGACCATCT CATCTGTAC ATCATTTGCA ACSCATACCT TGGCATGTTT  
 pUK21-A2 (2101) CAGAAACAC TCTGGGCAAT OGGGCTTCCC ATACAACGGA TAGATTGTGC CACCTGATTG  
 pGT CAGAAACAC TCCGGGCGCT OGGGCTTCCC ATACAACGCG TAGATTGTAG CACCTGATTG  
 pUK21-A2 (2161) CCCGACATTA TCGCGAGCCC ATTTATACCC ATATAAATCA GCATCCATGT TGGAAITTA  
 pGT CCCGACATTA TCGCGAGCCC ATTTATACCC ATATAAATCA GCATCCATGT TGGAAITTA  
 pUK21-A2 (2221) TCGCGGCTTC GAOGTTTCCC GTTGAATATG GGTCAATAAC CCCCCTGTAT TACGTTTTAT  
 pGT TCGCGGCTTC GAGGTTTCCC GTTGAATATG GGTCAATAAC CCCCCTGTAT TACGTTTTAT  
 pUK21-A2 (2281) GTAAGCAGAC AGTTTATTGT TCTAGATGA TATATTTTIA TCTTGTGCAA TGTAAACATCA  
 pGT GTAAGCAGAC AGTTTATTGT TCTAGATGA TATATTTTIA TCTTGTGCAA TGTAAACATCA  
 pUK21-A2 (2341) GAGATTTTGA GACACAAGCT GGCTTTTCCC CCCCCCCCCA TGCATTATAC CTATAAATAT  
 pGT GAGATTTTGA GACACAAGCT GGCTTTTCCC CCCCCCCCCA TGCATTATAC CTATAAATAT  
 pUK21-A2 (2401) AGGCGTATCA CGAGGCCCTT TCGCTCTGCG GCTTTCGGTG ATACGCGTGA AAACCTCTGA  
 pGT AGGCGTATCC CGAGGCCCTT TCGCTCTGCG GCTTTCGGTG ATACGCGTGA AAACCTCTGA  
 pUK21-A2 (2461) CACATGCAGC TCCCGGAGAC GGTACAGCT TGTCTGTAAG CCGATGCCGG GAGCAGACAA  
 pGT CACATGCAGC TCCCGGAGAC GGTACAGCT TGTCTGTAAG CCGATGCCGG GAGCAGACAA  
 pUK21-A2 (2521) GCGCGTCAGC GCGCGTCAGC GGGTGTGGC GGGTGTGGC GCTGCGTTAA CTATGCGCA  
 pGT GCGCGTCAGC GCGCGTCAGC GGGTGTGGC GGGTGTGGC GCTGCGTTAA CTATGCGCA  
 pUK21-A2 (2581) TCAGAGCAGA TTGTACTGAG AGTGCACCAT AAAATTGTAA ACOTTAATAT TTTGTAAAA  
 pGT TCAGAGCAGA TTGTACTGAG AGTGCACCAT AAAATTGTAA ACOTTAATAT TTTGTAAAA  
 pUK21-A2 (2641) TTGCGGTTAA ATTTTGTGTA AATCAGCTCA TTTTITAACC AATAGACCGA AATCGGCAAA  
 pGT TTGCGGTTAA ATTTTGTGTA AATCAGCTCA TTTTITAACC AATAGACCGA AATCGGCAAA  
 pUK21-A2 (2701) ATCCCTTATA AATCAAAGA ATAGCCCGAG ATAGAATTGA GTGTTGTGCC AGTTTGGAC  
 pGT ATCCCTTATA AATCAAAGA ATAGCCCGAG ATAGAATTGA GTGTTGTGCC AGTTTGGAC  
 pUK21-A2 (2761) AAGAGTCCAC TATTAAGAA CGTGACTCC AACGTCAAG GCGCAAAAC CGTCTATCAG  
 pGT AAGAGTCCAC TATTAAGAA CGTGACTCC AACGTCAAG GCGCAAAAC CGTCTATCAG

pUK21-A2 (2821) GGCATGGCC CACCCCGATT TAGAGCTTGA CGGGGAAAGC CGCGCAACGT GCGCAGAAAG  
 pGT GCGCATGGCC CACCCCGATT TAGAGCTTGA CGGGGAAAGC CGCGCGCGCT GCGCAGAAAG  
 -----\*-----  
 pUK21-A2 (2881) GAAGGGAAGA AAGCGAAAGG AGCGGCGCCT AAGCGCTGG CAAGTGTAGC GGTCAAGCTG  
 pGT GAAGGGAAGA AACCAGAAAG AGCGGCGCCT AAGCGCTGG CAAGTGTAGC GGTCCCGCTG  
 -----\*-----  
 pUK21-A2 (2941) CGCGTAACCA CCACACCCGC CGCGCTTAAT CGCGCGCTAC AGGGCGCGTA CTATGGTTGC  
 pGT CGCGTAACCA CCACACCCGC CGCGCTTAAT CGCGCGCTAC AGGGCGCGTA CTATGGTTGC  
 -----\*-----  
 pUK21-A2 (3001) TTTGACGTAT GCGGTGTGAA ATACCGCAACA GATGCGTAAG GAGAAATATC CGCATCAGGC  
 pGT TTTGCGGTAT GCGGTGTGAA ATACCGCAACA GATGCGTAAG GAGAAATATC CGCATCAGGC  
 -----\*-----  
 pUK21-A2 (3061) GGCATTTCGC ATTCAAGCTG GCACAACGTT GGGAAAGGCGC ATCGGTGCGG GCGTCTTCGC  
 pGT GGCATTTCGC ATTCAAGCTG GCACAACGTT GGGAAAGGCGC ATCGGTGCGG GCGTCTTCGC  
 -----\*-----  
 pUK21-A2 (3121) TATTACGCCA GCTGGCGAAA GGGGGATGTG CTGCAAGCGC ATTAAGTTGG GTAACGCCAG  
 pGT TATTCCGCCA GCTGCCGAAA GGGGGATGTG CTGCAAGCGC ATTAAGTTGG GTACCGCCAG  
 -----\*-----  
 pUK21-A2 (3181) GGTTTTCCCA GTCACGCGCT GTTAACACGA CGCGCAGTGA ATTGTAATAC GACTCACAT  
 pGT GGTTTTCCCA GTCACGCGCG GTTAACACGA CGCGCAGTGA ATTGTAATAC GACTCACAT  
 -----\*-----  
 pUK21-A2 (3241) AGGGCGAATT GGGGATCGAT CCACATGTT TAGATCCGAT GTACGGCCCA GATATACGCG  
 pGT AGGCCGAATT GGGGACCGAT CCACATGTT TAGATCCGAT GTACGGCCCA GATATACGCG  
 -----\*-----  
 pUK21-A2 (3301) TTGACATTGA TTATTGACTA GTTATTAAATA GTATCAATT ACGGGGTCAT TAGTTCATAG  
 pGT TTGACATTGA TTATTGACTA GTTATTAAATA GTATCAATT ACGGGGTCAT TAGTTCATAG  
 -----\*-----  
 pUK21-A2 (3361) TTGACATTGA TTATTGACTA GTTATTAAATA GTATCAATT ACGGGGTCAT TAGTTCATAG  
 pGT TTGACATTGA TTATTGACTA GTTATTAAATA GTATCAATT ACGGGGTCAT TAGTTCATAG  
 -----\*-----  
 pUK21-A2 (3421) CAACGACCCC CGCCATTGA CGTCAATAAT GACGTATGTT CCCATAGTAA CGCCAAATAGG  
 pGT CAACGACCCC CGCCATTGA CGTCAATAAT GACGTATGTT CCCATAGTAA CGCCAAATAGG  
 -----\*-----  
 pUK21-A2 (3481) GACTTTCCAT TGACGTCAAT GGGTGGAGTA TTTACGGTAA ACTGCCACT TGCGAGTACA  
 pGT GACTTTCCAT TGACGTCAAT GGGTGGAGTA TTTACGGTAA ACTGCCACT TGCGAGTACA  
 -----\*-----  
 pUK21-A2 (3541) TCAAGTGTAT CATATGCCAA GTACGCCCCC TATTGACGTC AATGACGGTA AATGGCCCCG  
 pGT TCAAGTGTAT CATATGCCAA GTACGCCCCC TATTGACGTC AATGACGGTA AATGGCCCCG  
 -----\*-----  
 pUK21-A2 (3601) CTGGCATTAT GCCCAGTACA TGACCTTATG GGACTTTCTC ACTTGGCAGT ACATCTACGT  
 pGT CTGGCATTAT GCCCAGTACA TGACCTTATG GGACTTTCTC ACTTGGCAGT ACATCTACGT  
 -----\*-----  
 pUK21-A2 (3661) ATTAGTCATC GCTATTACCA TGGTGATGCG GTTTTGGCAG TACATCAATG GCGCTGGATA  
 pGT ATTAGTCATC GCTATTACCA TGGTGATGCG GTTTTGGCAG TACATCAATG GCGCTGGATA  
 -----\*-----  
 pUK21-A2 (3721) GCGGTTTGAC TCACGGGGAT TTCCAAGTCT CCACCCCAAT GACGTCAATG GGAGTTTGT  
 pGT GCGGTTTGAC TCACGGGGAT TTCCAAGTCT CCACCCCAAT GACGTCAATG GGAGTTTGT  
 -----\*-----  
 pUK21-A2 (3781) TTGGCACCAA AATCAACGGG ACTTTCCAAA ATGTCGTAACT AACTCGGCC CATTTAGCGCA  
 pGT TTGGCACCAA AATCAACGGG ACTTTCCAAA ATGTCGTAACT AACTCGGCC CATTTAGCGCA  
 -----\*-----  
 pUK21-A2 (3841) AATGGGCGGT AGGCGTGTAC GGTGGGAGGT CTATATAAGC AGAGCTCTCT GGTACTACTAG  
 pGT AATGGGCGGT AGGCGTGTAC GGTGGGAGGT CTATATAAGC AGAGCTCTCT GGTACTACTAG  
 -----\*-----  
 pUK21-A2 (3901) AGAACCCACT GCTTACTGGC TTATCGAAAT TGCGGCGGCC ACGGCGATAT CGGATCCATA  
 pGT AGAACCCACT GCTTACTGGC TTATCGAAAT TGCGGCGGCC ACGGCGATAT CGGATCCATA  
 -----\*-----  
 pUK21-A2 (3961) TGACGTCGAC GCGTCTGCAG AAGCTTC GCGTCTGCAG AAGCTTC  
 pGT TGACGTCGAC GCGTCTGCAG AAGCTTC GCGTCTGCAG AAGCTTC  
 -----\*-----

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Please re-write Table 6, beginning on page 64, line 1, as follows:

**Table 6** ODN used with plasmid DNA

Backbone	ODN code number	Sequence
<b>S-ODN</b>	1826	TCCATGACGTTCTCTGACGTT (SEQ ID NO:51)
	1628	GGGGTCAACGTTGAGGGGGG (SEQ ID NO:52)
	1911	TCCAGGACTTCTCTCAGGTT (SEQ ID NO:53)
	1982	TCCAGGACTTCTCTCAGGTT (SEQ ID NO:54)
	2017	CCCCCCCCCCCCCCCCCCC (SEQ ID NO:55)
<b>O-ODN</b>	2061	TCCATGACGTTCTCTGACGTT (SEQ ID NO:56)
	2001	GGCGGCGGCGGCGGCGGCGG (SEQ ID NO:57)
<b>SOS-ODN</b>	1980	TCCATGACGTTCTCTGACGTT (SEQ ID NO:58)
	1585	GGGGTCAACGTTGAGGGGGG (SEQ ID NO:59)
	1844	TCTCCCAGCGTGCGCCATAT (SEQ ID NO:60)
	1972	GGGGTCTGTGCTTTTGGGGGG (SEQ ID NO:61)
	2042	TCAGGGGTGGGGGGAACCTT (SEQ ID NO:62)
	1981	GGGGTTGACGTTTGGGGGG (SEQ ID NO:63)
	2018	TCTAGCGTTTTAGCGTTCC (SEQ ID NO:64)
	2021	TCGTTCGTTGTTCGTTGTTCGTT (SEQ ID NO:65)
	2022	TCGTTCGTTTGTTCGTTTGTTCGTT (SEQ ID NO:66)
	2023	TCGTTCGTTGTTCGTTTGTTCGTT (SEQ ID NO:67)

SOS-ODN had two S-linkages at the 5' end, five S-linkages at the 3' end, and O-linkages in between.

Three ODN used in this study were of the same murine-specific immunostimulatory sequence in three different backbones (1826, 2061 and 1980).

All ODN were synthesized by Hybridon (Milford, MA) or Operon (Alameda, CA). ODN were ethanol precipitated and resuspended in saline prior to use alone or as an additive to the plasmid DNA solution.



Please re-write Table 10 beginning on page 68, line 1, as follows:

**Table 10**

Inhibitory CpG motifs can block B cell proliferation induced by a stimulatory CpG motif

Oligonucleotide added	cpm
medium	194
1668 (TCCATGACGTTTCCTGATGCT) (SEQ ID NO:68)	34,669
1668 + 1735 (GCGTTTITTTITGCG) (SEQ ID NO:69)	24,452
1720 (TCCATGAGCTTCCTGATGCT) (SEQ ID NO:70)	601
1720 + 1735	1109

Splenic B cells from a DBA/2 mouse were cultured at  $5 \times 10^4$  cells/100  $\mu$ l well in 96 well microtiter plates in RPMI as previously described (Krieg, *et al.*, 1995) with or without the indicated phosphorothioate modified oligonucleotides at a concentration of 60 ng/ml for 48 hr. The cells were then pulsed with  $^3\text{H}$  thymidine, harvested, and the cpm determined by scintillation counting. The stimulatory CpG oligo 1668 was slightly but significantly inhibited by the inhibitory motifs in oligo 1735. The non CpG oligo 1720 is included as a negative control.

Please re-write Table 11, beginning on page 69, line 1, as follows:

**Table 11**

*Inhibitory effects of "bad" CpG motifs on the "good" CpG Oligo 1619*

**Notes:**

The sequence of oligo 1619 is TCCATGTCGTTCCCTGATGCT (SEQ ID NO:71)

1949 has only 1 GCG at the 3' end, which has essentially no inhibitory activity

Oligonucleotide added	IL-12 in pg/ml
medium	0
1619 alone	6
1619 + 1949 (TCCATGTCGTTCCCTGATGCG) (SEQ ID NO:72)	16
1619 + 1952 (TCCATGTCGTTCCGCGCGCG) (SEQ ID NO:73)	0
1619 + 1953 (TCCATGTCGTTCCCTGCCGCT) (SEQ ID NO:74)	0
1619 + 1955 (GCGGCGGGCGGCGCGCGCCC) (SEQ ID NO:75)	0

Human PBMC were cultured in 96 well microtiter plates at  $10^5/200\mu\text{l}$  for 24 hr in RPMI containing 10% autologous serum. Supernatants were collected at the end of the culture and tested for IL-12 by ELISA. All wells except the control (medium) contained 60  $\mu\text{g/ml}$  of the stimulatory CpG oligodeoxynucleotide 1619; stimulatory (1949) and inhibitory (all other sequences have a strong inhibitory motif) oligos were added to the indicated wells at the same concentration at the beginning of culture. All oligos have unmodified backbones.

Please re-write Table 13 beginning on page 71, line 1, as follows:

**Table 13** Identification of neutralizing CpG motifs which reduce the induction of cytokine secretion by a CpG-S motif in the same ODN (*cis*-neutralization)

ODN	sequence 5'-3' <sup>1</sup>	ODN-induced cytokine expression <sup>2</sup>		
		IL-6	IL-12	IFN- $\gamma$
None		<5	206	898
1619	TCCATGTCGTTCCCTGATGCT (SEQ ID NO:71)	1405	3130	4628
1952	.....GCGGCG (SEQ ID NO:73)	559	1615	2135
1953	.....CC... (SEQ ID NO:74)	577	1854	2000

<sup>1</sup>Dots in the sequence of ODN 1952 and 1953 indicate identity to ODN 1619; CpG dinucleotides are underlined for clarity. ODN without CpG-N or CpG-S motifs had little or no effect on cytokine production. The data shown are representative of 4 experiments.

<sup>2</sup>All cytokines are given in pg/ml; measured by ELISA on supernatants from DBA/2 spleen cells cultured in 96 well plates at  $2 \times 10^7$  cells/ml for 24 hr with the indicated ODN at 30  $\mu$ g/ml. Std. dev. of the triplicate wells was <7%. None of the ODN induced significant amounts of IL-5

Please re-write Table 14 beginning on page 72, line 1, as follows:

**Table 14** Inhibition of CpG-induced cytokine secretion by ODN containing CpG-N motifs

ODN	sequence 5'-3'	IL-12 secretion <sup>1</sup>	CpG-S-induced IL-12 secretion <sup>2</sup>
none		268	5433
1895	GCGCGCGCGCGCGCGC (SEQ ID NO:76)	123	2719
1896	CCGCGCGCGCGCGCGC (SEQ ID NO:77)	292	2740
1955	GCGCGCGCGCGCGCGCC (SEQ ID NO:75)	270	2539
2037	TCCATGCCGTTCCCTGCCGTT (SEQ ID NO:78)	423	2847

<sup>1</sup>BALB/c spleen cells were cultured in 96 well plates at  $2 \times 10^7$  cells/ml with the indicated ODN for 24 hr and then the supernatants were assayed for IL-12 by ELISA (pg/ml).

<sup>2</sup>Cells were set up the same as in <sup>1</sup> except that IL-12 secretion was induced by the addition of the CpG ODN 1619 (TCCATGTCGTTCCCTGATGCT) (SEQ ID NO: 71) at 30  $\mu$ g/ml. The data shown are representative of 5 experiments.

### In the Claims

Please cancel claims 1-58.

Claims 59-108 are currently pending.

### Remarks

#### Claims:

In the parent application, claims 1-58, as filed, were elected in response to a Restriction Requirement dated October 26, 1999. Accordingly, claims 1-58, having been already prosecuted in the parent application, are cancelled herewith. Currently pending claims 59-108 were deemed to be one invention according to the Restriction Requirement in the parent case.

#### Specification:

Applicants herewith introduce amendments made to the specification during the prosecution of the parent case.

Some of the foregoing amendments merely embody the correction of figure descriptions in order to make the specification consistent with the format of the formal drawings filed herewith.

Tables 1, 2, 3, 5, 6, 10, 11, 13 and 14, as well as other sections of the specification, were amended in order to introduce SEQ ID NO: for each nucleic acid sequence.

Tables 2, 3, 4, 5, and 11 were replaced, in part, to improve clarity and correct a few minor typographical errors without introduction of new matter.

Table 2 was replaced, in part, to correct the title. Support for this amendment can be found in the footnote.

Table 3 was replaced, in part, to correct the heading for column 3 by substituting "No CpG Motifs" with "No. CpG motifs". In addition, the singly underlined CG dinucleotides in footnotes 2 and 3 were replaced with doubly underlined CG dinucleotides so that all underlining is double.

Table 4 was replaced to change nomenclature as follows: In column 1, "pHIS20-S(ad)" was replaced with --pHIS40-S(ad)--; "pHIS36-S(ad)" was replaced with --pHIS64-S(ad)--; "pHIS72-S(ad)" was replaced with --pHIS128-S(ad)--; and "pHIS108-S(ad)" was replaced with --pHIS192-S(ad)--. In column 2, "pHIS-20" was replaced with --pHIS-40--; "pHIS-36" was replaced with --pHIS-64--; "pHIS-72" was replaced with --pHIS-128--; and

"pHIS-108" was replaced with --pHIS-192--. These corrections in nomenclature are supported at page 40, lines 10-23, as well as in Table 3.

Table 5 was replaced, in part, for clarity. The replacement Table is in a larger font for clarity, which necessitates the addition of a third page to accommodate the entire table.

Table 11 was replaced, in part, to insert "CpG" in the title between "good" and "Oligo 1619". There was no change in any of the sequence information in the table.

Table 14 was replaced, in part, to correct a nucleic acid sequence in footnote 2. Specifically, the sequence of ODN 1619 was incorrectly listed. Support for the correct sequence of ODN 1619 and this amendment can be found in Tables 11 and 13.

No new matter has been added by the foregoing amendments. If the Examiner has any questions or comments, he/she is respectfully requested to contact Applicants' representative at the number listed below.

Respectfully submitted,




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Docket No. C1039/7057  
 September 26, 2001  
 xndd

## APPENDIX A

### MARKED-UP SPECIFICATION

Please amend the specification as follows:

Please insert on page 1, line 3, after the title of the invention and prior to the section entitled Technical Field the following text:

#### **Related Applications**

This application is a divisional of U.S. non-provisional patent application serial no. 09/082,649, filed May 20, 1998, now allowed, which claims priority to U.S. provisional patent application serial no. 60/047,209, filed May 20, 1998 and U.S. provisional patent application serial no. 60/047,233, filed May 20, 1997.

**Please note that the underlining of sequences in the proceeding marked-up specification does not indicate a change to the text, but rather reflects underlining of such sequences as present in the originally filed specification. Accordingly, no changes to sequences have been introduced by this amendment. In order to facilitate the identification of amendments to the specification, such amendments have also been highlighted as well as underlined or bracketed.**

Please re-write the paragraph starting on page 5, line 13, as follows:

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figures 1A and 1B [1 is a] are schematic diagrams of the construction of pUK21-A1.  
 Figures 2A and 2B [2 is a] are schematic diagrams of the construction of pUK21-A2.  
 Figures 3A and 3B [3 is a] are schematic diagrams of the construction of pUK21-A.  
 Figures 4A and 4B [4 is a] are schematic diagrams of the construction of pMAS.

Please re-write the paragraph beginning on page 6, line 1, as follows:

Figure 6: Synthetic ODN cannot be mixed with DNA vaccine due to interference with expression from plasmid. The figure shows the effect of adding S-ODN to plasmid DNA expressing reporter gene or antigen. ODN 1826 (10 or 100 µg) was added to DNA constructs (10 µg) encoding hepatitis B surface antigen (HBsAg) (pCMV-S, [Figure 6A] top panel) or luciferase (pCMV-luc, [Figure 6B] bottom panel) DNA prior to intramuscular (IM) injection into mice. There was an ODN dose-dependent reduction in the induction of antibodies against HBsAg (anti-HBs, end-point dilution titers at 4 wk) by the pCMV-S DNA ([Figure 6A] top panel) and in the amount of luciferase expressed in relative light units per sec per mg protein

(RLU/sec/mg protein at 3 days) from the pCMV-luc DNA ([Figure 6B] bottom panel). This suggests that the lower humoral response with DNA vaccine plus ODN was due to decreased antigen expression. Each bar represents the mean of values derived from 10 animals ([Figure 6A] top panel) or 10 muscles ([Figure 6B] bottom panel) and[s] vertical lines represent the SEM. Numbers [superimposed on] below the bars indicate proportion of animals responding to the DNA vaccine ([Figure 6A] top panel); all muscles injected with pCMV-luc expressed luciferase ([Figure 6B] bottom panel).

Please re-write the paragraph beginning on page 6, line 13, as follows:

Figure 7: Interference of ODN with pDNA due to backbone and sequence. The figure shows the interference of ODN with plasmid DNA depends on backbone and sequence. Luciferase activity (RLU/sec/mg protein) in mouse muscles 3 days after they were injected with 10  $\mu$ g pCMV-luc DNA to which had been added no ODN (none = white bar) or 100  $\mu$ g of an ODN, which had one of three backbones: phosphorothioate (S = [black] left slanted bars: 1628, 1826, 1911, 1982, 2001 and 2017), phosphodiester (O = [pale grey] thick left slanted bar: 2061), or a phosphorothioate-phosphodiester chimera (SOS = [dark grey] right slanted bars: 1585, 1844, 1972, 1980, 1981, 2018, 2021, 2022, 2023 and 2042). Three S-ODN (1911, 1982 and 2017) and two SOS-ODN (1972 and 2042) did not contain any immunostimulatory CpG motifs. One S-ODN (1628) and three SOS-ODN (1585, 1972, 1981) had poly-G ends and one SOS-ODN (2042) had a poly-G center. The (\*) indicates ODN of identical sequence but different backbone: 1826 (S-ODN), 1980 (SOS-ODN) and 2061 (O-ODN). All S-ODN (both CpG and non-CpG) resulted in decreased luciferase activity whereas SOS-ODN did not unless they had poly-G sequences.

Please re-write the paragraph beginning on page 6, line 25, as follows:

Figure 8: Temporal and spatial separation of CpG ODN and plasmid DNA. The figure shows the effect of temporal or spatial separation of plasmid DNA and S-ODN on gene expression. Luciferase activity (RLU/sec/mg protein) in mouse muscles 3 or 14 days after they were injected with 10  $\mu$ g pCMV-luc DNA. Some animals also received 10  $\mu$ g CpG-S ODN which was mixed with the DNA vaccine or was given at the same time but at a different site, or was given 4 days prior to or 7 days after the DNA vaccine. Only when the ODN was mixed directly with the DNA vaccine did it interfere with gene expression.

Please re-write the paragraph beginning on page 7, line 6, as follows:



Figure 9: Immunization of BALB/c mice with CpG-optimized DNA vaccines. The figure shows the enhancement of *in vivo* immune effects with optimized DNA vaccines. Mice were injected with 10  $\mu$ g of pUK-S [(black bars)], pMAS-S [(white bars)], pMCG16-S [(pale grey bars)] or pMCG50-S [(dark grey bars)] plasmid DNA bilaterally (50  $\mu$ l at 0.1 mg/ml in saline) into the TA muscle. [Figure 9A] The top panel shows the anti-HBs antibody response at 6 weeks (detected as described in methods). Bars represent the group means (n=5) for ELISA end-point dilution titers (performed in triplicate), and vertical lines represent the standard errors of the mean. The numbers on the bars indicate the ratio of IgG2a:IgG1 antibodies at 4 weeks, as determined in separate assays (also in triplicate) using pooled plasma. [Figure 9B] The bottom panel shows the cytotoxic T lymphocyte activity in specifically restimulated (5 d) splenocytes taken from mice 8 wk after DNA immunization. Bars represent the group means (n=3) for % specific lysis (performed in triplicate) at an effector:target (E:T) ratio of 10:1, dots represent the individual values. Non-specific lytic activity determined with non-antigen-presenting target cells, which never exceeds 10%, has been subtracted from values with HBsAg-expressing target cells to obtain % specific lysis values.

Please re-write the paragraph beginning on page 7, line 19, as follows:

Figure 10 shows induction of a Th2-like response by a CpG-N motif and inhibition of the Th1-like response induced by a CpG-S motif. Anti-HBs antibody titers (IgG1 and IgG2a subclasses) in BALB/c mice 12 weeks after IM immunization with recombinant HBsAg, which was given alone (none) or with 10  $\mu$ g stimulatory ODN (1826), 10  $\mu$ g of neutralizing ODN (1631, CGCGCGCGCGCGCGCGCGCG (SEQ ID NO:22) 1984, TCCATGCCGTTCTCTGCCGTT (SEQ ID NO:78); or 2010 GCGGCGGGCGGCGCGCGCCC (SEQ ID NO:75); CpG dinucleotides are underlined for clarity) or with 10  $\mu$ g stimulatory ODN + 10  $\mu$ g neutralizing ODN. To improve nuclease resistance for these *in vivo* experiments, all ODN were phosphorothioate-modified. Each bar represents the group mean (n=10 for none; n=15 for #1826 and n=5 for all other groups) for anti-HBs antibody titers as determined by end-point dilution ELISA assay. [Black] Hatched portions of bars indicate antibodies of IgG1 subclass (Th2-like) and [grey] white portions indicate IgG2a subclass (Th1-like). The numbers above each bar indicate the IgG2a/IgG1 ratio where a ratio >1 [than] indicates a predominantly Th1-like response and a ratio <1 indicates a predominantly Th2-like response (a value of 0 indicates a complete absence of IgG2a antibodies).

Please re-write paragraph beginning on page 8, line 5, as follows:

Figure 11 shows enhancement of *in vivo* immune effects with optimized DNA vaccines. Mice were injected with 10 µg of pUK-S ([black] white bars), pMAS-S ([white] right slanted bars), pMCG16-S ([pale grey] thin right slanted bars) or pMCG50-S ([dark grey] left slanted bars) plasmid DNA bilaterally (50 µl at 0.1 mg/ml in saline) into the TA muscle. Panel A: The anti-HBs antibody response at 6 weeks (detected as described in methods). Bars represent the group means (n=5) for ELISA end-point dilution titers (performed in triplicate), and vertical lines represent the standard errors of the mean. The numbers on the bars indicate the ratio of IgG2a:IgG1 antibodies at 4 weeks, as determined in separate assays (also in triplicate) using pooled plasma. Panel B: Cytotoxic T lymphocyte activity in specifically restimulated (5 d) splenocytes taken from mice 8 wk after DNA immunization. Bars represent the group means (n=3) for % specific lysis (performed in triplicate) at an effector: target (E:T) ratio of 10:1, dots represent the individual values. Non-specific lytic activity determined with non-antigen-presenting target cells, which never exceeds 10%, has been subtracted from values with HBsAg-expressing target cells to obtain % specific lysis values.

Please re-write the paragraph beginning on page 35, line 8, as follows:

(i) Insertion of the CMV (human cytomegalovirus) major intermediate early promoter/enhancer region

The CMV promoter (from pcDNA3 position 209 to 863) was amplified by PCR using 30 ng pcDNA3 as a template. The forward PCR primer 5'CGT GGA TAT CCG ATG TAC GGG CCA GAT AT 3'(SEQ ID NO:4) introduced an EcoRV site, and the reverse PCR primer 5' AGT CGC GGC CGC AAT TTC GAT AAG CCA GTA AG 3'(SEQ ID NO:5) introduced a *NotI* site. After digestion with EcoRV and *NotI*, a 0.7 kb PCR fragment containing the CMV promoter was purified and inserted into the pUK21 polylinker between *XbaI* and *NotI* sites. The *XbaI* sticky end of pUK21 was filled in with the large fragment of T4 DNA polymerase after digestion to create a blunt end. The inserted CMV promoter was confirmed by sequencing. The resulting plasmid was pUK21-A1 (Figures 1A and 1B).

Please re-write the paragraph beginning on page 35, line 19, as follows:

(ii) Insertion of the BGH polyA (bovine growth hormone polyadenylation signal)

BGH polyA (from pcDNA3 position 1018 to 1249) was amplified by PCR using pcDNA3 as template. The forward PCR primer 5' ATT CTC GAG TCT AGA CTA GAG CTC GCT

GAT CAG CC 3' (SEQ ID NO:6) introduced *XhoI* and *XbaI* sites, and the reverse PCR primer 5' ATT AGG CCT TCC CCA GCA TGC CTG CTA TT 3' (SEQ ID NO:7) introduced a *StuI* site. After digestion with *XhoI* and *StuI*, the 0.2 kb PCR fragment containing the BGH polyA was purified, and ligated with the 3.7 kb *XhoI-StuI* fragment of pUK21-A1. The inserted BGH polyA was confirmed by sequencing. The resulting plasmid was pUK21-A2 (Figures 2A and 2B).

Please re-write the paragraph beginning on page 36, line 24, as follows:

(i) Insertion of the fl origin of replication region

The fl origin and two unique restriction enzyme sites (*DraI* and *Apal*) were introduced into pUK21-A2 for later vector construction. fl origin (from pcDNA3 position 1313 to 1729) was amplified by PCR using pcDNA3 as template. The forward PCR primer 5' TAT AGG CCC TAT TTT AAA CGC GCC CTG TAG CGG CGC A 3' (SEQ ID NO:8) introduced *EcoO109I* and *DraI* sites, and the reverse PCR primer 5' CTA TGG CGC CTT GGG CCC AAT TTT TGT TAA ATC AGC TC 3' (SEQ ID NO:9) introduced *NarI* and *Apal* site. After digestion with *NarI* and *EcoO109I*, the 0.4 kb PCR fragment containing the fl origin was purified and ligated with the 3.3 kb *NarI-EcoO109I* fragment of pUK21-A2, resulting in pUK21-A (Figures 3A and 3B).

Please re-write the paragraph beginning on page 38, line 22, as follows:

(iii) Replacement of the fl origin with unique restriction enzyme sites

Oligonucleotides 5' AAA TTC GAA AGT ACT GGA CCT GTT AAC A 3' (SEQ ID NO:10) and its complementary strand 5' CGT GTT AAC AGG TCC AGT ACT TTC GAA TTT 3' (SEQ ID NO:11) were synthesized, and 5'-phosphorylated. Annealing of these two phosphorylated oligos resulted in 28 base pair double-stranded DNA containing three unique restriction enzyme sites (*ScaI*, *AvaII*, *HpaI*), one sticky end and one blunt end. Replacing the 0.4 kb *NarI-DraI* fragment of pUK21-B with this double-stranded DNA fragment resulted in the universal vector pMAS for DNA vaccine development (Figures 4A and 4B and 5).

Please re-write the paragraph beginning on page 44, line 11, as follows:

In contrast to the success with protein antigens, attempts to augment immune responses induced by a HBsAg-expressing DNA vaccine by the addition of CpG-S ODN 1826 failed. Surprisingly, the immune responses decreased with the addition of CpG-S ODN in a dose-dependent manner (Figure 6[a], top panel). Addition of ODN #1826 to a luciferase reporter

gene construct (pCMV-luc, Davis *et al.*, 1993b) resulted in a dose-dependent decrease in luciferase expression (Figure 6[b], bottom panel). This indicates that the negative effects of the CpG-S ODN on the DNA vaccine were due to reduced gene expression rather than an effect on the immune response against the gene product.

Please re-write the paragraph beginning on page 48, line 15, as follows:

Next, different numbers of CpG-S motifs were inserted into the vector by allowing self-ligation of a 20bp DNA fragment with the sequence 5'  
GACTCCATGACCGTTCCTGACGTTCCATGACGTTCTGACGTTG 3'(SEQ ID NO:[22]  
12) with a complementary strand and inserting different numbers of copies into the *AvaII* site of pMAS. Recombinant clones were screened and the two vectors were chosen for further testing with 16 and 50 CpG-S motifs, and named pMCG16 and pMCG50 respectively.

Please re-write the paragraph beginning on page 51, line 16, as follows:

When tested for their ability to induce cytokine (IL-6 and IL-12) secretion from cultured spleen cells, we found that the pMAS-S, pMCG16-S and pMCG50-S vectors had significantly enhanced immune stimulatory activity compared to pUK-S. When used as a DNA vaccine, the anti-HBs response at 4 and 6 weeks was substantially stronger with DNA vaccines from which CpG-N motifs had been deleted, and even more so when 16 CpG-S motifs had been inserted. The vector with 50 CpG-S motifs, however, was less effective at inducing antibody production than that with 16 motifs. (Figure 11, panel A). Removal of CpG-N motifs and addition of CpG-S motifs resulted in a more than three-fold increase in the proportion of IgG2a relative to IgG1 anti-HBs antibodies, indicating an enhanced Th-1 response. This accentuated Th1 response also was demonstrated by the striking progressive increases in CTL responses induced by vectors from which CpG-N motifs were deleted and/or CpG-S motifs added (Figure 11, panel B).

Please re-write the paragraph beginning on page 53, line 20, as follows:

Based on our *in vitro* experiments we hypothesized that the presence of CpG-N motifs in DNA vaccines interferes with the induction of the desired immune response. Indeed, the present study demonstrates that elimination of CpG-N motifs from a DNA vaccine leads to improved induction of antibodies. By removing 52 of the CpG-N motifs from a DNA vaccine (45 were deleted and 7 turned into CpG-S motifs) the serologic response was more than doubled; by then adding an additional 16 CpG-S motifs, the response was enhanced

nearly 10 fold (Figure 11, panel A). Likewise, CTL responses were improved by removing CpG-N motifs and even more so by adding 16 or 50 CpG-S motifs (Figure 11, panel B). These increased responses are especially notable in view of the fact that the total number of CpG dinucleotides in the mutated vaccines is considerably below the original number.

Please re-write the paragraph beginning on page 54, line 2, as follows:

The finding that the vector with 50 CpG-S motifs was inferior to that with 16 motifs for induction of humoral immunity was unexpected, and may be secondary to CpG-induced production of type I interferons, and subsequent reduction in the amount of antigen expressed. The decreased antibody response induced by pMCG50-S seems unlikely to be explained by vector instability since this vector gave the best CTL responses (Figure 11, panel B). Although the pMCG50-S vector was slightly larger than pMCG16-S, the 10 µg dose still contained 93% as many plasmid copies as it did pMCG16-S, so lower copy number is unlikely to account for the reduced antibody levels. The current generation of DNA vaccines are quite effective in mice, but much less effective in primates (Davis, H.L., *et al.*, *Proc. Natl. Acad. Sci. USA*, 93:7213-7218 (1996); Letvin, N.L., *et al.*, *Proc. Natl. Acad. Sci. USA*, 94:9378-9383 (1997); Fuller, D.H., *et al.*, *J. Med. Primatol.*, 25:236-241 (1996); Lu, S., *et al.*, *J. Virol.*, 70:3978-3991 (1996); Liu, M.A., *et al.*, *Vaccine*, 15:909-919 (1997); Prince, A.M., *et al.*, *Vaccine*, 15:9196-919 (1997); Gramzinski, R.A., *et al.*, *Molec. Med.*, 4:109-119 (1998)). Our present results indicate that attaining the full clinical potential of DNA vaccines will require using engineered vectors in which CpG-N motifs have been deleted, and CpG-S motifs added.

Please re-write Table 1, beginning on page 56, line 22, as follows:

**Table 1.**

Primers used for site-directed mutagenesis.

Mutated nucleotides are underlined. Restriction enzyme sites for cloning, are indicated in bold.

Forward primers:

Mu-0F	5' GTCTCTAGACAGCCACTGGTAACAGGATT 3' (845) (SEQ ID NO:23)
Mu-1F	(1144) 5' <u>GTCGTTGT</u> TCGTCAAGTCAGCGTAATGC 3' (1172) (SEQ ID NO:24)
Mu-2F	(1285) 5' TCGTTTCTGTAATGAAGGAG 3' (1304) (SEQ ID NO:25)
Mu-3F	(1315) 5' <u>AAGGCAGT</u> TCCATAGGATGG 3' (1334) (SEQ ID NO:26)
Mu-(4+5)F	(1348) 5' TCGATCTGCGATTCCAACTCGTCCAACATCAATAC 3' (1382) (SEQ ID NO:27)
Mu-6F	(1453) 5' TGGTGAGAATGGCAAAAGTT 3' (1472) (SEQ ID NO:28)
Mu-7F	(1548) 5' CATTATTCATTCTGTGATTGCG 3' (1568) (SEQ ID NO:29)
Mu-8F	(1633) 5' <u>ACGTC</u> TCAGGAACACTGCCAGCGC 3' (1656) (SEQ ID NO:30)
Mu-9F	(1717) 5' <u>AGGGATCG</u> CAGTGGTGAGTA 3' (1736) (SEQ ID NO:31)
Mu-10F	(1759) 5' TATAAAATGCTTGATGGTCGG 3' (1779) (SEQ ID NO:32)
Mu-(11+12)F	(1777) 5' <u>GGAAGAGG</u> CATAAAATTCGTGACGCAGTTAGTC 3' (1811) (SEQ ID NO:33)
Mu-13F	(1882) 5' TGGCTTCCCATACAAGCGAT 3' (1901) (SEQ ID NO:34)
Mu-14F	(1924) 5' TACATTATCGCGAGCCCAT 3' (1943) (SEQ ID NO:35)
Mu-15F	(1984) 5' TGGCTCGACGTTTCCCGT 3' (2002) (SEQ ID NO:36)

Reverse primers:

Mu-0R	5' ATCGAATTCAGGGCCTCGTGATACGCCTA 3' (2160) (SEQ ID NO:37)
Mu-1R	(1163) 5' TGACTTGACGACACAACGACGCTCATGACCAAAATCCC 3' (1125) (SEQ ID NO:38)
Mu-2R	(1304) 5' CTCCTTCATTACAGAAACGCTTTTTCAAAAATATGGTA 3' (1266) (SEQ ID NO:39)
Mu-3R	(1334) 5' CCATCCTATGGAAGTGCCTTGGTGAGTTTCTCCTTC 3' (1298) (SEQ ID NO:40)
Mu-(4+5)R	(1367) 5' GAGTIGGAATCGCAGATCGATACCAGGATCTTGC 3' (1334) (SEQ ID NO:41)
Mu-6R	(1472) 5' AACTTTTGCCATTCTCACCAGATTCACTCATCTCACTA 3' (1436) (SEQ ID NO:42)
Mu-7R	(1568) 5' CGCAATCACGAATGAATAATGGTTTGGTTGATGCGAGTG 3' (1530) (SEQ ID NO:43)

Mu-8R (1652) 5' TGGCAGTGTTCCTGAGACGITTCGATTCGATTCCTGTT 3' (1615) ([SEQ ID NO:44](#))

Mu-9R (1736) 5' TACTCACCACTGCGATCCCTGGAAAAACAGCATTCCAG 3' (1736) ([SEQ ID NO:45](#))

Mu-10R (1779) 5' CCGACCATCAAGCATTTTATACGTACTCCTGATGATGCA 3' (1741) ([SEQ ID NO:46](#))

Mu-(11+12) (1796) 5' CAGAATTTATGCCTCTTCCCACCATCAAGCATTTTATAC 3' (1758) ([SEQ ID NO:47](#))

Mu-13R (1901) 5' ATCGCTTGTATGGGAAGCCAGATGCGCCAGAGTTGTTT 3' (1882) ([SEQ ID NO:48](#))

Mu-14R (1943) 5' AATGGGCTCGCGATAATGTAGGGCAATCAGGTGCGAC 3' (1907) ([SEQ ID NO:49](#))

Mu-15R (2002) 5' ACGGGAAACGTCGAGGCCACGATTAAATTCCAACATGG 5' (1965) ([SEQ ID NO:50](#))

[(SEQ ID NO:23-50, respectively)]

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Please re-write Table 2, beginning on page 59, line 1, as follows:

**Table 2** Nucleotide and amino acid sequences of the *AlwNI-EcoO109I* fragment (SEQ ID NO:80)

kan(wt)	2180	AAGGGCCTCG	TGATACGCCT	ATTTTATAG	GTTAATGTCA	TGGGGGGGGG	GGGAAAGCC
kan(wt)	2120	ACGTTGTGTC	TCAAAATCTC	TGATGTTACA	TTGCACAAGA	TAAAAACATA	TCATCATGAA
kan(wt)	2060	CAATAAAATC	GTCTGCTTAC	ATAAACAGTA	ATACAAAGGG	TGTTATGAGC	CATATTCAAC
kan(mu)							
ORF							
kan(wt)	2000	GGGAAACGTC	GAGGCCGCGA	TTAAATTCCA	ACATGGATGC	M S	H I Q
kan(mu)						MGATTATAT	GGGTATAAT
ORF							
kan(wt)	1940	GGGCTCGCGA	TAATGTCTGG	CAATCAGGTG	CGACAATCTA	D L Y K	G G Y K
kan(mu)						TCGCTTGTAT	GGGAAGCCG
ORF							
kan(wt)	1880	W A R D	N V G	Q S G	A T I Y	R L Y	G K P
kan(mu)		ATGCGCCAGA	GTITGTTCTG	AAACATGGCA	AAGGTAGCGT	TGCCAATGAT	GTTACAGATG
ORF							
kan(wt)	1820	D A P E	L F L	K H G	K G S V	A N D	V T D
kan(mu)		AGGATGTCAG	ACTAACTGG	CTGACGGAAT	TTATGCCTCT	TCGACCATCT	AAGCATTTTA
ORF							
kan(wt)	1760	E M V R	L N W	L T E	F M P L	P T I	K H F
kan(mu)		TCGCTATCC	TGATGATGCA	TGGTTACTCA	CCACTGCGAT	CCCCGGAAAA	ACAGCATTC
ORF							
kan(wt)	1700	I R T P	D D A	W L L	T T A I	P G K	T A F
kan(mu)		AGGTATTAGA	AGAATATCCT	GATTCAGGTG	AAAATATGTT	TGATGCGCTG	GCAGTGTCC
ORF							
kan(wt)	1640	Q V L E	E Y P	D S G	E N I V	D A L	A V F
kan(mu)		TCGCGCGGTT	GCATTGCGAT	CTGTGTTGTA	ATTGTCCTTT	TAAACGGAT	CGCGATTTC
ORF							
kan(wt)	1580	L R R L	H S I	P V C	N C P F	N C D	R V F
kan(mu)		GTCTCGCTCA	GGCGCAATCA	CGAATGAATA	ACGCGTTTGGT	TGATGCGAGT	GATTITGATG
ORF							
kan(wt)	1520	R L A Q	A Q S	R M N	N G L V	D A S	D F D
kan(mu)		ACGAGCGTAA	TGGCTGGCCT	GTTGAACAAG	TCTGGAAAAA	AATGCATAAA	CTTTTGGCAI
ORF							
kan(wt)	1460	D E R N	G W P	V E Q	V W K E	M H K	L L P
kan(mu)		TCTCACGGGA	TTCACTGCTC	ACTCATGGTG	ATTCTCAGT	TGATAACCTT	ATTTTTCAGC
ORF							
kan(wt)	1400	F S P D	S V V	T H G	D F S L	D N L	I F D
kan(mu)		AGGGGGAAT	AATAGGTTGT	ATTGATGTG	GACGAGTCGG	AATCGCAGAC	CGATACCAGG
ORF							
kan(wt)	1340	E G K L	I G C	I D V	G R V G	I A D	R Y Q
kan(mu)		ATCTTGCCAT	CCTATGGAAC	TGCTCGGGTG	AGTTTCTCC	TTCATACAG	AAACGGCTTT
ORF							
kan(wt)	1280	D L A I	L W N	C L G	E F S P	S L Q	K R L
kan(mu)		TTCAAAATA	TGGTATGAT	AATCCTGATA	TGAATAAAIT	GCAGTTTCAT	TTGATGCTCG
ORF							
kan(wt)	1220	F Q K Y	G I D	N P D	M N K L	Q F H	L M L
kan(mu)		ATGAGTTTTT	CTAATCAGAA	TTGGTTAATT	GGTGTGAACA	CTGGCAGAGC	ATTACGCTGA
ORF							
kan(wt)	1160	D E F F	CITGACGGGA	CGGCGCAAGC	TCATGACCAA	AATCCCTTAA	CGTGAGTTTT
kan(mu)			AC	AA AC			CGTTCACCTG
kan(wt)	1100	AGCGTCAGAC	CCCGTAGAAA	AGATCAAAGG	ATCTTCTTGA	GATCCITTTT	TTCTGCGCGT
kan(wt)	1040	AATCTGCTGC	TTGCAACCAA	AAAAACCAAC	GCTACCAAGC	GTGGTTTGTG	TGCCGAGTCA
kan(wt)	980	AGAGCTACCA	ACTCTTTTTC	CGRAGGTAC	TGCGCTCAGC	AGAAGCCGGA	TACCAATATC
kan(wt)	920	TGTTCTCTCA	GTGTAGCCGT	AGTTAGGCCA	CCACTTCAAG	AACCTGTAG	CACCGCCTAC
kan(wt)	860	ATACCTCGCT	CTGCTAATCC	TGTTACCACT	GGCTGCTGCC		

**Note:** Mutated nucleotides are underlined. The *AlwNI* and *EcoO109I* sites are indicated in bold type. The nucleotide numbering scheme is the same as the backbone vector pUK21.



Please re-write Table 3, beginning on page 60, line 1, as follows:

Plasmid DNA Vectors

Davis *et al.* (1998)

**Table 3**

*Plasmids containing immunostimulatory CpG motifs*

Plasmid	Backbone	[No] No. CpG Motifs	Species Specificity and ODN Equivalence of CpG-S Insert
pMCG-16	pMAS	16	mouse-specific CpG motif #1826 <sup>1</sup>
pMCG-50	pMAS	50	
pMCG-100	pMAS	100	
pMCG-200	pMAS	200	
pHCG-30	pMAS	30	human-specific CpG motif - no ODN equivalent <sup>2</sup>
pHCG-50	pMAS	50	
pHCG-100	pMAS	100	
pHCG-200	pMAS	200	
pHIS-40	pMAS	40	human-specific CpG motif #2006 <sup>3</sup>
pHIS-64	pMAS	64	
pHIS-128	pMAS	128	
pHIS-192	pMAS	192	

<sup>1</sup> sequence of 1826 is TCCATGACCGTTCCTGACCGTT (SEQ ID NO:51)

<sup>2</sup> sequence used as a source of CpG motifs is GACTTCCGIGTCCGTCTCTCTGTCGTCCTTAGCGCCTCTCCTGCGTCCGTCCCTTG (SEQ ID NO:14)

<sup>3</sup> sequence of 2006 is TCGTCGTTTTGTCGTTTTGTCGTT (SEQ ID NO:3)

Please re-write Table 4, beginning on page 61, line 1, as follows:

**Table 4**

Plasmids encoding hepatitis B surface antigen (derived from ayw or adw subtypes of HBV)

Plasmid	Backbone	Insert
pUK-S	pUK21-A2	HBV-S (ayw)
pUKAX-S	pUK21-AX*	HBV-S (ayw)
pMAS-S	pMAS	HBV-S (ayw)
pMCG16-S	pMCG-16	HBV-S (ayw)
pMCG50-S	pMCG-50	HBV-S (ayw)
pMCG100-S	pMCG-100	HBV-S (ayw)
pMCG200-S	pMCG-200	HBV-S (ayw)
pHCG30-S	pHCG-30	HBV-S (ayw)
pHCG50-S	pHCG-50	HBV-S (ayw)
pHCG100-S	pHCG-100	HBV-S (ayw)
pHCG200-S	pHCG-200	HBV-S (ayw)
[pHIS20-S(ad)] <u>pHIS40-S(ad)</u>	[pHIS-20] <u>pHIS-40</u>	HBV-S (adw2)
[pHIS36-S(ad)] <u>pHIS64-S(ad)</u>	[pHIS-36] <u>pHIS-64</u>	HBV-S (adw2)
[pHIS72-S(ad)] <u>pHIS128-S(ad)</u>	[pHIS-72] <u>pHIS-128</u>	HBV-S (adw2)
[pHIS108-S(ad)] <u>pHIS192-S(ad)</u>	[pHIS-108] <u>pHIS-192</u>	HBV-S (adw2)

\*pUK21-AX was created by deleting fl origin from pUK21-A

Please re-write Table 5, beginning on page 62, line 1, as follows:

**Table 5** Sequence comparison of pUK21-A2 (SEQ ID NO:83) and pGT (SEQ ID NO:84). 75 point-mutations (indicated with \*) in pUK21-A2 results in the gene therapy vector (pGT)

pUK21-A2 (1)	GAATTGGAGC	TCCCGGGTAC	CATGGCATGC	ATCGATAGAT	CTCGAGTCTA	GACTAGAGCT
pGT	GAATTGGAGC	TCCCGGGTAC	CATGGCATGC	ATCGATAGAT	CTCGAGTCTA	GACTAGAGCT
pUK21-A2 (61)	CGGTGATCAG	CCTCGACTGT	GCCCTCTAGT	TGCCAGCCAT	CTGTGTTGTT	CCCTCCCC
pGT	CGGTGATCAG	CCTCGACTGT	GCCCTCTAGT	TGCCAGCCAT	CTGTGTTGTT	CCCTCCCC
pUK21-A2 (121)	GTGCCCTTCCT	TGACCCCTGGA	AGGTGCCACT	CCCAGTGTCC	TTTCTTAATA	AAATGAGGAA
pGT	GTGCCCTTCCT	TGACCCCTGGA	AGGTGCCACT	CCCAGTGTCC	TTTCTTAATA	AAATGAGGAA
pUK21-A2 (181)	ATTGCACTCGC	ATTGCTCTAG	TAGGTGTGAT	TCTATTCTGG	GGGGTGGGGT	GGGGCAGGAC
pGT	ATTGCACTCGC	ATTGCTCTAG	TAGGTGTGAT	TCTATTCTGG	GGGGTGGGGT	GGGGCAGGAC
pUK21-A2 (241)	AGCAAGGGGG	AGGATTGGGA	AGACAATAGC	AGGCATGCTG	GGGAAGGGCT	CGGACTAGTG
pGT	AGCAAGGGGG	AGGATTGGGA	AGACAATAGC	AGGCATGCTG	GGGAAGGGCT	CGGACTAGTG
pUK21-A2 (301)	GGTAAATCAT	GGTCATAGCT	GTTTCCTGTG	TGAATTTGTT	ATCCGCTCAC	AATTCACAC
pGT	GGTAAATCAT	GGTCATAGCT	GTTTCCTGTG	TGAATTTGTT	ATCCGCTCAC	AATTCACAC
pUK21-A2 (361)	AACATCCGAG	CCGCGGAAGC	ATAAAGTGT	AAGCCTGGGG	TGCTTAATGA	CTAGCTTAAC
pGT	AACATCCGAG	CCGCGGAAGC	ATAAAGTGT	AAGCCTGGGG	TGCTTAATGA	CTAGCTTAAC
pUK21-A2 (421)	TCACATTAAT	TGCGTTCCGC	TCACTGCCGC	CTTCCAGTCC	GGGAACCTG	TGTCGCCAGC
pGT	TCACATTAAT	TGCGTTCCGC	TCACTGCCGC	CTTCCAGTCC	GGGAACCTG	TGTCGCCAGC
pUK21-A2 (481)	TGCATTAAAT	AATCGGCCAA	CCGCGGGGGA	GAGCGGTTT	CGGTATTGGG	CGCTCTTCGG
pGT	TGCATTAAAT	AATCGGCCAA	CCGCGGGGGA	GAGCGGTTT	CGGTATTGGG	CGCTCTTCGG
pUK21-A2 (541)	CTTCTCGCT	CACCTAGCTG	CTCGCTCGG	TGTTTCGGCT	CGCGCAGCC	GTATCAGCTC
pGT	CTTCTCGCT	CACCTAGCTG	CTCGCTCGG	TGTTTCGGCT	CGCGCAGCC	GTATCAGCTC
pUK21-A2 (601)	ACTCAAGGC	GTAATACGG	TTATCCACAG	ATCAGGGGA	TACCGCAGGA	AAGACATGT
pGT	ACTCAAGGC	GTAATACGG	TTATCCACAG	ATCAGGGGA	TACCGCAGGA	AAGACATGT
pUK21-A2 (661)	GAGCAAAAGC	CCAGCAAAAG	GCCAGGAACC	GTAAGAGGC	CGCGTTTCCT	CGGTTTTTCC
pGT	GAGCAAAAGC	CCAGCAAAAG	GCCAGGAACC	GTAAGAGGC	CGCGTTTCCT	CGGTTTTTCC
pUK21-A2 (721)	ATAGGCTCCG	CCCCCTGAC	GAGCATCACA	AAAATCGACG	CTCAAGTCAG	AGGTGGGAAA
pGT	ATAGGCTCCG	CCCCCTGAC	GAGCATCACA	AAAATCGACG	CTCAAGTCAG	AGGTGGGAAA
pUK21-A2 (781)	ACCCGACAGG	ACTATAAAGA	TACCAGGGGT	TTCCCCCTGG	AAGCTCCTC	GTGGCTCTC
pGT	ACCCGACAGG	ACTATAAAGA	TACCAGGGGT	TTCCCCCTGG	AAGCTCCTC	GTGGCTCTC
pUK21-A2 (841)	CTGTTCGGAC	CTCGCGCTT	ACCGATACC	TGTCCGCTT	TCTCCCTTG	GAAGCGTGG
pGT	CTGTTCGGAC	CTCGCGCTT	ACCGATACC	TGTCCGCTT	TCTCCCTTG	GAAGCGTGG
pUK21-A2 (901)	CGCTTTCTCA	TAGCTCAGC	TGTAGGTATC	TCAGTTCGGT	GTAGTCTGTT	CGCTCAAGC
pGT	CGCTTTCTCA	TAGCTCAGC	TGTAGGTATC	TCAGTTCGGT	GTAGTCTGTT	CGCTCAAGC
pUK21-A2 (961)	TGGGCTGTGT	GCACGACCT	CCGCTTCAG	CCGACCGCTG	CGCTTATCC	GTACTATC
pGT	TGGGCTGTGT	GCACGACCT	CCGCTTCAG	CCGACCGCTG	CGCTTATCC	GTACTATC
pUK21-A2 (1021)	GTCTTGAGTC	CAACCCGGTA	AGACAAGCT	TATCGGCATC	GGCAGGACC	ACTGTAAACA
pGT	TGGGCTGTGT	GCACGACCT	CCGCTTCAG	CCGACCGCTG	CGCTTATCC	GTACTATC
pUK21-A2 (1081)	GGATTAGCAG	AGCGAGGTAT	GTAGGCGGTG	CTACAGAGTT	CTTGAAGTGG	TGGCTTAAC
pGT	GGATTAGCAG	AGCGAGGTAT	GTAGGCGGTG	CTACAGAGTT	CTTGAAGTGG	TGGCTTAAC
pUK21-A2 (1141)	ACGGCTACAC	TAGAAGAAC	GTAATTTGTA	TCTGCCTCT	GCTGAAGCCA	GTACTCTTG
pGT	ACGGCTACAC	TAGAAGAAC	GTAATTTGTA	TCTGCCTCT	GCTGAAGCCA	GTACTCTTG
pUK21-A2 (1201)	GAAAGAGGT	TGGTAGCTCT	TGATCCGGCA	AACAAACCAC	CGCTGTAGAG	GGTGGTTTTT
pGT	GAAAGAGGT	TGGTAGCTCT	TGATCCGGCA	AACAAACCAC	CGCTGTAGAG	GGTGGTTTTT
pUK21-A2 (1261)	GAAAGAGGT	TGGTAGCTCT	TGATCCGGCA	AACAAACCAC	CGCTGTAGAG	GGTGGTTTTT
pGT	GAAAGAGGT	TGGTAGCTCT	TGATCCGGCA	AACAAACCAC	CGCTGTAGAG	GGTGGTTTTT
pUK21-A2 (1321)	TTTCTACGGG	GCTCAGCTC	CAGTGGAAAC	AAACTCAGC	TTAAGGGATT	TTGGCATGA
pGT	TTTCTACGGG	GCTCAGCTC	CAGTGGAAAC	AAACTCAGC	TTAAGGGATT	TTGGCATGA

pUK21-A2 (1381)  
 pGT GCTTGGCGCG TCCCGTCAAG TCAGCGTAAT GCTCTGCCAG TGTTACAACC AATTAACCAA  
 GCTTGGCGCG TCCCGTCAAG TCAGCGTAAT GCTCTGCCAG TGTTACAACC AATTAACCAA  
 pUK21-A2 (1441)  
 pGT TTCTCGATTAG AAAAAGCTCAT CGAGCATCAA ATGAAGCTGC AATTTATTCA TATCAGGATT  
 TTCTCGATTAG AAAAAGCTCAT CGAGCATCAA ATGAAGCTGC AATTTATTCA TATCAGGATT  
 pUK21-A2 (1501)  
 pGT ATCAATACCA TATTTTTGAA AAGCCGCTTT CTGTAATGAA GGAGAAAGCT CACCGAGGCA  
 ATCAATACCA TATTTTTGAA AAGCCGCTTT CTGTAATGAA GGAGAAAGCT CACCGAGGCA  
 pUK21-A2 (1561)  
 pGT GTTCCATAGG ATGGCAAGAT CTTGGTATCG GTCTGCGATT CGGACTCTCG CACATCAAT  
 GTTCCATAGG ATGGCAAGAT CTTGGTATCG GTCTGCGATT CGGACTCTCG CACATCAAT  
 pUK21-A2 (1621)  
 pGT ACACCTTATT AATTTCCCTCT CGTCAAAAT AAGTTATCA AGTGAGAAAT CACCATGCT  
 ACACCTTATT AATTTCCCTCT CATCAAAAT AAGTTATCA AGTGAGAAAT CACCATGCT  
 pUK21-A2 (1681)  
 pGT GACGACTGAA TCCGGTGA GAATGCAAAAG TTTATGCATT TCTTCCAGA CTGTTCAC  
 AACTACTGAA TCCGGTGA GAATGCAAAAG TTTATGCATT TCTTCCAGA CTGTTCAC  
 pUK21-A2 (1741)  
 pGT AGGCCAGCCA TTACGCTCT CATCAAAATC ACTCGCATCA ACCAAACCGT TATTCTTCG  
 AGGCCAGCCA TTACGCTCT CATCAAAATC ACTCGCATCA ACCAAACCGT TATTCTTCG  
 pUK21-A2 (1801)  
 pGT TGATTGCGCC TGAGCGAGAC GAATATCGCG ATCGCTGTTA AAGGACAAT TACAACAGG  
 TGATTGCGCC TGAGCGAGAC GAATATCGCG ATCGCTGTTA AAGGACAAT TACAACAGG  
 pUK21-A2 (1861)  
 pGT AATCGAATGC AACCGGCGCA GGAACACTGC CAGCGCATCA ACAATATTTT CACCTGAATC  
 AATCGAATGC AACCGGCGCA GGAACACTGC CAGCGCATCA ACAATATTTT CACCTGAATC  
 pUK21-A2 (1921)  
 pGT AGGATATTCT TCTAATACCT GGAATGCTGT TTTTCCGGG ATCGAGTGC TGAGTAACCA  
 AGGATATTCT TCTAATACCT GGAATGCTGT TTTTCCGGG ATCGAGTGC TGAGTAACCA  
 pUK21-A2 (1981)  
 pGT TGCATCATCA GGAATACGGA TAAATGCTT GATGTCGGA AGAGGCATAA ATTCGCTCAG  
 TGCATCATCA GGAATACGGA TAAATGCTT GATGTCGGA AGAGGCATAA ATTCGCTCAG  
 pUK21-A2 (2041)  
 pGT CCAAGTTTATG CTGACCATCT CATCTGTAC ATCATTTGGA ACCTACCTT TGCATGTTT  
 CCAAGTTTATG CTGACCATCT CATCTGTAC ATCATTTGGA ACCTACCTT TGCATGTTT  
 pUK21-A2 (2101)  
 pGT CAGAAACAAC TCTGGCGCAT CGGGCTTCCC ATACAACCGA TAGATTGTG CACCTGATTG  
 CAGAAACAAC TCTGGCGCAT CGGGCTTCCC ATACAACCGG TAGATTGTG CACCTGATTG  
 pUK21-A2 (2161)  
 pGT CCCGACATTA TCGCGAGCCC ATTTATACCC ATATAAATCA GCATCCATGT TGGAAITTA  
 CCCGACATTA TCGCGAGCCC ATTTATACCC ATATAAATCA GCATCCATGT TGGAAITTA  
 pUK21-A2 (2221)  
 pGT TCGCGGCTCT GAGGTTTCCC GTTGAATATG GCTCATACCA CCCCTTGTTAT TACTGTTAT  
 TCGCGGCTCT GAGGTTTCCC GTTGAATATG GCTCATACCA CCCCTTGTTAT TACTGTTAT  
 pUK21-A2 (2281)  
 pGT GTAAGCAGAC AGTTTATTG TTCAATGATG TATATTTTGA TCTGTGCGAA TGTACATCA  
 GTAAGCAGAC AGTTTATTG TTCAATGATG TATATTTTGA TCTGTGCGAA TGTACATCA  
 pUK21-A2 (2341)  
 pGT GAGATTTTGA GACACAACGT GGCCTTCCCC CCCCCCCCCA TGACATTATC CATATAAAT  
 GAGATTTTGA GACACAACGT GGCCTTCCCC CCCCCCCCCA TGACATTATC CATATAAAT  
 pUK21-A2 (2401)  
 pGT AGGCGTATCA CGAGGCCCTT TGCTCTCGCG CGTTTCCGTT ATGACGGTGA AATCGCTGA  
 AGGCGTATCA CGAGGCCCTT CGCTCTCGCG CGTTTCCGTT ATGACGGTGA AATCGCTGA  
 pUK21-A2 (2461)  
 pGT CACATGCAGC TCCCGGAGAC GGTACACGCT TGCTGTAAAG CGGATGCCGG GAGCAGCAA  
 CACATGCAGC TCCCGGAGAC GGTACACGCT TGCTGTAAAG CGGATGCCGG GAGCAGCAA  
 pUK21-A2 (2521)  
 pGT GCGCGTCAGG GCGCGTCAGC GGGTGTTCGG GGGTGTTCGG GGTGGCTTAA CATGCGGCA  
 GCGCGTCAGG GCGCGTCAGC GGGTGTTCGG GGGTGTTCGG GGTGGCTTAA CATGCGGCA  
 pUK21-A2 (2581)  
 pGT TCAGAGCAGA TTGACTAGAG AGTGACACAT AAAATTGTAA ACGTATAAT TTTGTAA  
 TCAGAGCAGA TTGACTAGAG AGTGACACAT AAAATTGTAA ACGTATAAT TTTGTAA  
 pUK21-A2 (2641)  
 pGT TTGCGGTTAA ATTTTGTGA AATCAGCTCA TTTTAAAC AATAGCCGA AATCGGCAA  
 TTGCGGTTAA ATTTTGTGA AATCAGCTCA TTTTAAAC AATAGCCGA AATCGGCAA  
 pUK21-A2 (2701)  
 pGT ATCCCTTATA AATCAAAAGA ATAGCCCGAG ATAGAGTTGA GTGTGTTC AATGGAAC  
 ATCCCTTATA AATCAAAAGA ATAGCCCGAG ATAGAGTTGA GTGTGTTC AATGGAAC  
 pUK21-A2 (2761)  
 pGT AAGAGTCCAC TATTAAGAAC CGTGGACTCC AACGTCAAAG GGGCAAAAC CGTCTATCAG  
 AAGAGTCCAC TATTAAGAAC CGTGGACTCC AACGTCAAAG GGGCAAAAC CGTCTATCAG

pUK21-A2 (2821) GCGGATGGCC CACCCCGATT TAGAGCTTGA CGGGGAAAGC CGCGCAACGT GCGCGAGAAAG  
 pGT GCGGATGGCC CACCCCGATT TAGAGCTTGA CGGGGAAAGC CGCGCGCGGT GCGCGAGAAAG  
 pUK21-A2 (2881) GAAGGGAAGA AAGCGAAAG AGCGGGCGCT AAGCGCTGG CAAGTGTAGC GGTCCCGCTG  
 pGT GAAGGGAAGA AAGCGAAAG AGCGGGCGCT AAGCGCTGG CAAGTGTAGC GGTCCCGCTG  
 pUK21-A2 (2941) CGCGTAACCA CCACACCCGC CGCGCTTAAT CGCGCGCTAC AGGCGCGGTA CTATGGTTGC  
 pGT CGCGTAACCA CCACACCCGC CGCGCTTAAT CGCGCGCTAC AGGCGCGGTA CTATGGTTGC  
 pUK21-A2 (3001) TTTCACGTAT CGCGGTGTGA ATACCGCACA GATCGGTAAG GAGAAATAC CGCATCAGGC  
 pGT TTTCACGTAT CGCGGTGTGA ATACCGCACA GATCGGTAAG GAGAAATAC CGCATCAGGC  
 pUK21-A2 (3061) GCCATTGCGC ATTCAGGCTG CGCAACTGTT GGGAGGCGCG ATCGGTGCGG GCTCTCTCGC  
 pGT GCCATTGCGC ATTCAGGCTG CGCAACTGTT GGGAGGCGCG ATCGGTGCGG GCTCTCTCGC  
 pUK21-A2 (3121) TATTACGCCA GCTGCCGAAA GGGGGATGTG CTGCAAGCCG ATTAAGTTGG GTACGCCAG  
 pGT TATTACGCCA GCTGCCGAAA GGGGGATGTG CTGCAAGCCG ATTAAGTTGG GTACGCCAG  
 pUK21-A2 (3181) GGTTCCTCCA GTACAGACGT TGTAAACGA CGGCCAGTGA ATTGTAATAC GACTCATTAT  
 pGT GGTTCCTCCA GTACAGACGT TGTAAACGA CGGCCAGTGA ATTGTAATAC GACTCATTAT  
 pUK21-A2 (3241) AGGGCGAATT GGGGATCGAT CCACTAGTTC TAGATCCGAT GTACGGGCCA GATATACGGC  
 pGT AGGGCGAATT GGGGATCGAT CCACTAGTTC TAGATCCGAT GTACGGGCCA GATATACGGC  
 pUK21-A2 (3301) TTGACATTGA TTATTGACTA GTTATTAATA GTAATCAATT ACGGGGTCAT TAGTTCATAG  
 pGT TTGACATTGA TTATTGACTA GTTATTAATA GTAATCAATT ACGGGGTCAT TAGTTCATAG  
 pUK21-A2 (3361) TTGACATTGA TTATTGACTA GTTATTAATA GTAATCAATT ACGGGGTCAT TAGTTCATAG  
 pGT TTGACATTGA TTATTGACTA GTTATTAATA GTAATCAATT ACGGGGTCAT TAGTTCATAG  
 pUK21-A2 (3421) CAACGACCCC CGCCATTGA CGTCAATAAT GACGTATGTT CCAATAGTAA CGCAATAGG  
 pGT CAACGACCCC CGCCATTGA CGTCAATAAT GACGTATGTT CCAATAGTAA CGCAATAGG  
 pUK21-A2 (3481) GACTTTCCAT TGACGTCAAT GGTGGAGTA TTTACGGTAA ACTGCCCACT TGGCAGTACA  
 pGT GACTTTCCAT TGACGTCAAT GGTGGAGTA TTTACGGTAA ACTGCCCACT TGGCAGTACA  
 pUK21-A2 (3541) TCAAGTGATAT CATATGCCAA GTACGCCCCC TATTGACGTC AATGACGTA AATGGCCCGC  
 pGT TCAAGTGATAT CATATGCCAA GTACGCCCCC TATTGACGTC AATGACGTA AATGGCCCGC  
 pUK21-A2 (3601) CTGGCATTAT GCCCAGTACA TGACCTTATG GGACTTTCCT ACTTGGCAGT ACATCTACGT  
 pGT CTGGCATTAT GCCCAGTACA TGACCTTATG GGACTTTCCT ACTTGGCAGT ACATCTACGT  
 pUK21-A2 (3661) ATTAGTCAAT GCTATTACCA TGGTGATGCG GTTTTGGCAG TACATCAATG GCGTGGGATA  
 pGT ATTAGTCAAT GCTATTACCA TGGTGATGCG GTTTTGGCAG TACATCAATG GCGTGGGATA  
 pUK21-A2 (3721) GCGGTTTGAC TCACGGGGAT TTCCAAGTCT CCACCCCAAT GACGTCAATG GGAAGTTTGT  
 pGT GCGGTTTGAC TCACGGGGAT TTCCAAGTCT CCACCCCAAT GACGTCAATG GGAAGTTTGT  
 pUK21-A2 (3781) TTGGCACCAA AATCAACGGG ACTTTCACAA ATGTCGTAA AACTCCGCCC CATTGACGCA  
 pGT TTGGCACCAA AATCAACGGG ACTTTCACAA ATGTCGTAA AACTCCGCCC CATTGACGCA  
 pUK21-A2 (3841) AATGGGCGGT AGGCGGTGAC GGTGGGAGGT CTATATAAGC AGAGCTCTCT GGTCTACTAG  
 pGT AATGGGCGGT AGGCGGTGAC GGTGGGAGGT CTATATAAGC AGAGCTCTCT GGTCTACTAG  
 pUK21-A2 (3901) AGAACCACCT GCTTACTGCG TTATCGAAAT TGGGCGCGCC ACGGGGATAT CGGATCCATA  
 pGT AGAACCACCT GCTTACTGCG TTATCGAAAT TGGGCGCGCC ACGGGGATAT CGGATCCATA  
 pUK21-A2 (3961) TGACGTCGAC GCGTCTGCAG AAGCTTC  
 pGT TGACGTCGAC GCGTCTGCAG AAGCTTC

Please re-write Table 6, beginning on page 64, line 1, as follows:

**Table 6** ODN used with plasmid DNA

Backbone	ODN code number	Sequence
S-ODN	1826	TCCATGACGTTCTTGACGTT (SEQ ID NO:51)
	1628	GGGGTCAACGTTGAGGGGGG (SEQ ID NO:52)
	1911	TCCAGGACTTTCCTCAGGTT (SEQ ID NO:53)
	1982	TCCAGGACTTCTCTCAGGTT (SEQ ID NO:54)
	2017	CCCCCCCCCCCCCCCC (SEQ ID NO:55)
O-ODN	2061	TCCATGACGTTCTTGACGTT (SEQ ID NO:56)
	2001	GGCGGCGGCGGCGGCGGCGG (SEQ ID NO:57)
SOS-ODN	1980	TCCATGACGTTCTTGACGTT (SEQ ID NO:58)
	1585	GGGGTCAACGTTGAGGGGGG (SEQ ID NO:59)
	1844	TCTCCAGCGTGCGCCATAT (SEQ ID NO:60)
	1972	GGGGTCTGTGCTTTTGGGGGG (SEQ ID NO:61)
	2042	TCAGGGGTGGGGGGAACCTT (SEQ ID NO:62)
	1981	GGGGTTGACGTTTGGGGGG (SEQ ID NO:63)
	2018	TCTAGCGTTTATAGCGTTCC (SEQ ID NO:64)
	2021	TCGTCGTTGTGCGTTGTCGTT (SEQ ID NO:65)
	2022	TCGTCGTTTGTGCGTTTGTGCGTT (SEQ ID NO:66)
	2023	TCGTCGTTGTGCGTTTGTGCGTT (SEQ ID NO:67)

[Note: (SEQ ID NO:51-67, respectively)]

SOS-ODN had two S-linkages at the 5' end, five S-linkages at the 3' end, and O-linkages in between.

Three ODN used in this study were of the same murine-specific immunostimulatory sequence in three different backbones (1826, 2061 and 1980).

All ODN were synthesized by Hybridon (Milford, MA) or Operon (Alameda, CA). ODN were ethanol precipitated and resuspended in saline prior to use alone or as an additive to the plasmid DNA solution.

Please re-write Table 10 beginning on page 68, line 1, as follows:

**Table 10**

Inhibitory CpG motifs can block B cell proliferation induced by a stimulatory CpG motif

Oligonucleotide added	cpm
medium	194
1668 (TCCATGACGTTCTGATGCT) (SEQ ID NO:68)	34,669
1668 + 1735 (GCGTTTTTTTTGCG) (SEQ ID NO:69)	24,452
1720 (TCCATGAGCTTCCTGATGCT) (SEQ ID NO:70)	601
1720 + 1735	1109

Splenic B cells from a DBA/2 mouse were cultured at  $5 \times 10^4$  cells/100  $\mu$ l well in 96 well microtiter plates in RPMI as previously described (Krieg, *et al.*, 1995) with or without the indicated phosphorothioate modified oligonucleotides at a concentration of 60 ng/ml for 48 hr. The cells were then pulsed with  $^3\text{H}$  thymidine, harvested, and the cpm determined by scintillation counting. The stimulatory CpG oligo 1668 was slightly but significantly inhibited by the inhibitory motifs in oligo 1735. The non CpG oligo 1720 is included as a negative control. [(SEQ ID NO:68-70, respectively).]

Please re-write Table 11, beginning on page 69, line 1, as follows:

**Table 11**

*Inhibitory effects of "bad" CpG motifs on the "good" CpG Oligo 1619*

**Notes:**

The sequence of oligo 1619 is TCCATGTCGTTCCCTGATGCT (SEQ ID NO:71)

1949 has only 1 GCG at the 3' end, which has essentially no inhibitory activity

Oligonucleotide added	IL-12 in pg/ml
medium	0
1619 alone	6
1619 + 1949 (TCCATGTCGTTCCCTGATGCG) (SEQ ID NO:72)	16
1619 + 1952 (TCCATGTCGTTCCGCGCGCG) (SEQ ID NO:73)	0
1619 + 1953 (TCCATGTCGTTCCCTGCCGCT) (SEQ ID NO:74)	0
1619 + 1955 (GCGGCGGGCGGCGCGCGCC) (SEQ ID NO:75)	0

Human PBMC were cultured in 96 well microtiter plates at  $10^5$ /200 $\mu$ l for 24 hr in RPMI containing 10% autologous serum. Supernatants were collected at the end of the culture and tested for IL-12 by ELISA. All wells except the control (medium) contained 60  $\mu$ g/ml of the stimulatory CpG oligodeoxynucleotide 1619; stimulatory (1949) and inhibitory (all other sequences have a strong inhibitory motif) oligos were added to the indicated wells at the same concentration at the beginning of culture. All oligos have unmodified backbones.

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Please re-write Table 13 beginning on page 71, line 1, as follows:

**Table 13** Identification of neutralizing CpG motifs which reduce the induction of cytokine secretion by a CpG-S motif in the same ODN (*cis*-neutralization)

ODN	sequence 5'-3' <sup>1</sup>	ODN-induced cytokine expression <sup>2</sup>			
		IL-6 <sup>3</sup>	IL-12	IFN- $\gamma$	
None		<5	206	898	
1619	TCCATGTCGTTCCGTGATGCT ( <u>SEQ ID NO:71</u> )	1405	3130	4628	
1952	.....GCGGCG ( <u>SEQ ID NO:73</u> )	559	1615	2135	
1953	.....CC... ( <u>SEQ ID NO:74</u> )	577	1854	2000	

<sup>1</sup>Dots in the sequence of ODN 1952 and 1953 indicate identity to ODN 1619; CpG dinucleotides are underlined for clarity. ODN without CpG-N or CpG-S motifs had little or no effect on cytokine production. The data shown are representative of 4 experiments.

<sup>2</sup>All cytokines are given in pg/ml; measured by ELISA on supernatants from DBA/2 spleen cells cultured in 96 well plates at  $2 \times 10^7$  cells/ml for 24 hr with the indicated ODN at 30  $\mu$ g/ml. Std. dev. of the triplicate wells was <7%. None of the ODN induced significant amounts of IL-5

Please re-write Table 14 beginning on page 72, line 1, as follows:

**Table 14** Inhibition of CpG-induced cytokine secretion by ODN containing CpG-N motifs

ODN	sequence 5'-3'	IL-12 secretion <sup>1</sup>	CpG-S-induced IL-12 secretion <sup>2</sup>
none		268	5453
1895	GCGCGCGCGCGCGCGC (SEQ ID NO:76)	123	2719
1896	CCGCGCGCGCGCGCGG (SEQ ID NO:77)	292	2740
1955	GCGCGCGCGCGCGCGCC (SEQ ID NO:75)	270	2539
2037	TCCATGCCGTTCTTCCGCTT (SEQ ID NO:78)	423	2847

<sup>1</sup>BALB/c spleen cells were cultured in 96 well plates at  $2 \times 10^7$  cells/ml with the indicated ODN for 24 hr and then the supernatants were assayed for IL-12 by ELISA (pg/ml).

<sup>2</sup>Cells were set up the same as in <sup>1</sup> except that IL-12 secretion was induced by the addition of the CpG ODN 1619

[(TCCATGACGTTCTGTATGCT)] (TCCATGTCGTTCTGTATGCT) (SEQ ID NO: 71) at 30 µg/ml. The data shown are representative of 5 experiments.